

INTERNATIONAL STANDARD

**ISO/IEC
16449**

Second edition
2002-04-15

Information technology — 80 mm DVD — Read-only disk

*Technologies de l'information — Disque DVD de diamètre 80 mm —
Disque DVD à lecture seule*

IECNORM.COM : Click to view the full PDF of ISO/IEC 16449:2002



Reference number
ISO/IEC 16449:2002(E)

© ISO/IEC 2002

PDF disclaimer

This PDF file may contain embedded typefaces. In accordance with Adobe's licensing policy, this file may be printed or viewed but shall not be edited unless the typefaces which are embedded are licensed to and installed on the computer performing the editing. In downloading this file, parties accept therein the responsibility of not infringing Adobe's licensing policy. The ISO Central Secretariat accepts no liability in this area.

Adobe is a trademark of Adobe Systems Incorporated.

Details of the software products used to create this PDF file can be found in the General Info relative to the file; the PDF-creation parameters were optimized for printing. Every care has been taken to ensure that the file is suitable for use by ISO member bodies. In the unlikely event that a problem relating to it is found, please inform the Central Secretariat at the address given below.

IECNORM.COM : Click to view the full PDF of ISO/IEC 16449:2002

© ISO/IEC 2002

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
Case postale 56 • CH-1211 Geneva 20
Tel. + 41 22 749 01 11
Fax + 41 22 749 09 47
E-mail copyright@iso.ch
Web www.iso.ch

Printed in Switzerland

Contents

| | |
|---|----------|
| Section 1 - General | 1 |
| 1 Scope | 1 |
| 2 Conformance | 1 |
| 2.1 Optical Disk | 1 |
| 2.2 Generating system | 1 |
| 2.3 Receiving system | 1 |
| 3 Normative reference | 1 |
| 4 Terms and definitions | 1 |
| 4.1 Adhesive layer | 2 |
| 4.2 Channel bit | 2 |
| 4.3 Clamping Zone | 2 |
| 4.4 Digital Sum Value (DSV) | 2 |
| 4.5 Disk Reference Plane | 2 |
| 4.6 Dual Layer disk | 2 |
| 4.7 Dummy substrate | 2 |
| 4.8 Entrance surface | 2 |
| 4.9 Optical disk | 2 |
| 4.10 Physical sector number | 2 |
| 4.11 Read-only disk | 2 |
| 4.12 Recorded layer | 2 |
| 4.13 Reed-Solomon code | 2 |
| 4.14 Reserved field | 2 |
| 4.15 Sector | 2 |
| 4.16 Single Layer disk | 2 |
| 4.17 Spacer | 2 |
| 4.18 Substrate | 2 |
| 4.19 Track | 3 |
| 4.20 Track pitch | 3 |
| 4.21 Zone | 3 |
| 5 Conventions and notations | 3 |
| 5.1 Representation of numbers | 3 |
| 5.2 Names | 3 |
| 6 List of acronyms | 3 |
| 7 General description of the disk | 4 |
| 8 General requirements | 5 |
| 8.1 Environments | 5 |
| 8.1.1 Test environment | 5 |
| 8.1.2 Operating environment | 6 |
| 8.1.3 Storage environment | 6 |
| 8.1.4 Transportation | 6 |
| 8.2 Safety requirements | 6 |
| 8.3 Flammability | 6 |
| 9 Reference measurement devices | 6 |
| 9.1 Pick Up Head (PUH) | 6 |
| 9.2 Measurement conditions | 7 |
| 9.3 Normalized servo transfer function | 8 |

| | | |
|---|---|-----------|
| 9.4 | Reference Servo for axial tracking | 8 |
| 9.5 | Reference Servo for radial tracking | 9 |
| Section 2 – Dimensional, mechanical and physical characteristics of the disk | | 10 |
| 10 | Dimensional characteristics | 10 |
| 10.1 | Overall dimensions | 10 |
| 10.2 | First transition area | 10 |
| 10.3 | Second transition area | 10 |
| 10.4 | Clamping Zone | 10 |
| 10.5 | Third transition area | 10 |
| 10.6 | Information Zone | 11 |
| 10.6.1 | Sub-divisions of the Information Zone | 11 |
| 10.6.2 | Track geometry | 12 |
| 10.6.3 | Track modes | 12 |
| 10.6.4 | Channel bit length | 12 |
| 10.7 | Rim area | 12 |
| 10.8 | Remark on tolerances | 12 |
| 10.9 | Runout | 13 |
| 10.9.1 | Axial runout | 13 |
| 10.9.2 | Radial runout | 13 |
| 10.10 | Label | 13 |
| 11 | Mechanical parameters | 13 |
| 11.1 | Mass | 13 |
| 11.2 | Moment of inertia | 13 |
| 11.3 | Dynamic imbalance | 13 |
| 11.4 | Sense of rotation | 13 |
| 12 | Optical parameters | 13 |
| 12.1 | Index of refraction | 13 |
| 12.2 | Thickness of the transparent substrate | 13 |
| 12.3 | Thickness of the spacer of Types C and D | 13 |
| 12.4 | Angular deviation | 13 |
| 12.5 | Birefringence of the transparent substrate | 14 |
| 12.6 | Reflectivity | 14 |
| Section 3 – Operational Signals | | 17 |
| 13 | High frequency signals (HF) | 17 |
| 13.1 | Modulated amplitude | 17 |
| 13.2 | Signal asymmetry | 18 |
| 13.3 | Cross-track signal | 18 |
| 13.4 | Quality of signals | 18 |
| 13.4.1 | Jitter | 18 |
| 13.4.2 | Random errors | 18 |
| 13.4.3 | Defects | 18 |
| 14 | Servo signals | 18 |
| 14.1 | Differential phase tracking error signal | 19 |
| 14.2 | Tangential push-pull signal | 19 |
| Section 4 – Data Format | | 21 |
| 15 | General | 21 |
| 16 | Data Frames | 21 |
| 16.1 | Identification Data (ID) | 21 |

| | | |
|---|---|-----------|
| 16.2 | ID Error Detection Code (IED) | 22 |
| 16.3 | Copyright Management Information (CPR_MAI) | 23 |
| 16.4 | Error Detection Code (EDC) | 23 |
| 17 | Scrambled Frames | 23 |
| 18 | ECC Blocks | 24 |
| 19 | Recording Frames | 25 |
| 20 | Modulation | 26 |
| 21 | Physical Sectors | 27 |
| 22 | Suppress control of the d.c. component | 28 |
| Section 5 - Format of the Information Zone(s) | | 29 |
| 23 | General description of an Information Zone | 29 |
| 24 | Layout of the Information Zone | 29 |
| 25 | Physical Sector numbering | 29 |
| 26 | Lead-in Zone | 31 |
| 26.1 | Initial Zone | 32 |
| 26.2 | Reference Code Zone | 32 |
| 26.3 | Buffer Zone 1 | 32 |
| 26.4 | Buffer Zone 2 | 32 |
| 26.5 | Control Data Zone | 32 |
| 26.5.1 | Physical format information | 33 |
| 26.5.2 | Disk manufacturing information | 34 |
| 26.5.3 | Content provider information | 34 |
| 27 | Middle Zone | 35 |
| 28 | Lead-out Zone | 35 |
| Annexes | | |
| A (normative) - Measurement of the angular deviation α | | 36 |
| B (normative) - Measurement of birefringence | | 38 |
| C (normative) - Measurement of the differential phase tracking error | | 40 |
| D (normative) - Measurement of light reflectance | | 44 |
| E (normative) - Tapered cone for disk clamping | | 46 |
| F (normative) - Measurement of jitter | | 47 |
| G (normative) - 8-to-16 Modulation with RLL (2,10) requirements | | 50 |
| H (normative) - Burst Cutting Area (BCA) | | 60 |
| J (normative) - Source Identification Code (SID) | | 65 |
| K (informative) - Measurement of the thickness of the spacer of Dual Layer disks | | 68 |
| L (informative) - Note on the Reference Code | | 70 |
| M (informative) - Maximum transfer rate | | 71 |
| N (informative) - Disk bonding | | 72 |
| P (informative) - Transportation | | 74 |

Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 3.

The main task of the joint technical committee is to prepare International Standards. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this International Standard may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights.

ISO/IEC 16449 was prepared by ECMA (as Standard ECMA-268) and was adopted, under a special "fast-track procedure", by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, in parallel with its approval of national bodies of ISO and IEC.

This second edition cancels and replaces the first edition (ISO/IEC 16449:1999), which has been technically revised.

Annexes A to J form a normative part of this International Standard. Annexes K to P are for information only.

Information technology — 80 mm DVD — Read-only disk

Section 1 - General

1 Scope

This International Standard specifies the mechanical, physical and optical characteristics of a 80 mm, read-only optical disk to enable the interchange of such disks. It specifies the quality of the recorded signals, the format of the data and the recording method, thereby allowing for information interchange by means of such disks. This disk is identified as DVD - Read-Only Disk.

This International Standard specifies

- four related but different Types of this disk (see clause 7),
- the conditions for conformance,
- the environments in which the disk is to be operated and stored,
- the mechanical and physical characteristics of the disk, so as to provide mechanical interchange between data processing systems,
- the format of the information on the disk, including the physical disposition of the tracks and sectors, the error correcting codes and the coding method used,
- the characteristics of the signals recorded on the disk, enabling data processing systems to read the data from the disk.

This International Standard provides for interchange of disks between disk drives. Together with a standard for volume and file structure, it provides for full data interchange between data processing systems.

2 Conformance

2.1 Optical Disk

A claim of conformance shall specify the Type of the disk. An optical disk shall be in conformance with this International Standard if it meets the mandatory requirements specified for its Type.

2.2 Generating system

A generating system shall be in conformance with this International Standard if the optical disk it generates is in accordance with 2.1.

2.3 Receiving system

A receiving system shall be in conformance with this International Standard if it is able to handle all four Types of optical disk according to 2.1.

3 Normative reference

The following normative document contains provisions which, through reference in this text, constitute provisions of this International Standard. For dated references, subsequent amendments to, or revisions of, any of these publications do not apply. However, parties to agreements based on this International Standard are encouraged to investigate the possibility of applying the most recent edition of the normative document indicated below. For undated references, the latest edition of the normative document referred to applies. Members of ISO and IEC maintain registers of currently valid International Standards.

IEC 60950-1:2001, *Information technology equipment – Safety – Part 1: General requirements*

4 Terms and definitions

For the purposes of this International Standard, the following terms and definitions apply.

4.1 Adhesive layer

A layer of adhesive material bonding together the two parts of the disk.

4.2 Channel bit

The elements by which, after modulation, the binary values ZERO and ONE are represented on the disk by pits.

4.3 Clamping Zone

The annular part of the disk within which a clamping force is applied by a clamping device.

4.4 Digital Sum Value (DSV)

The arithmetic sum obtained from a bit stream by allocating the decimal value 1 to bits set to ONE and the decimal value -1 to bits set to ZERO.

4.5 Disk Reference Plane

A plane defined by the perfectly flat annular surface of an ideal spindle onto which the Clamping Zone of the disk is clamped, and which is normal to the axis of rotation.

4.6 Dual Layer disk

A optical disk with one or two entrance surface(s), in which each entrance surface gives access to a different pair of recorded layers.

4.7 Dummy substrate

A layer which may be transparent or not, provided for the mechanical support of the disk and/or of a recorded layer.

4.8 Entrance surface

The surface of the disk onto which the optical beam first impinges.

4.9 Optical disk

A disk that accepts and retains information in the form of pits in a recorded layer that can be read by an optical beam.

4.10 Physical sector number

A serial number allocated to physical sectors on the disk.

4.11 Read-only disk

An optical disk in which the information has been recorded when manufacturing the disk. The information cannot be modified and can only be read from the disk.

4.12 Recorded layer

A layer of the disk on, or in, which data is recorded.

4.13 Reed-Solomon code

An error detection and/or correction code for the correction of errors.

4.14 Reserved field

A field set to all ZEROS unless otherwise stated, and reserved for future standardization.

4.15 Sector

The smallest part of a track in the Information Zone that can be accessed independently of other addressable parts.

4.16 Single Layer disk

An optical disk with one or two entrance surface(s), in which each entrance surface gives access to a different recorded layer.

4.17 Spacer

In the case of Dual Layer disks, the transparent layer placed between the two recorded layers accessible through the same entrance surface.

4.18 Substrate

A transparent layer of the disk, provided for mechanical support of the recorded layer(s), through which the optical beam can access the recorded layer(s).

4.19 Track

A 360° turn of a continuous spiral.

4.20 Track pitch

The distance between the centrelines of a pair of adjacent physical tracks, measured in radial direction.

4.21 Zone

An annular area of the disk.

5 Conventions and notations

5.1 Representation of numbers

A measured value is rounded off to the least significant digit of the corresponding specified value. For instance, it implies that a specified value of 1,26 with a positive tolerance of + 0,01 and a negative tolerance of - 0,02 allows a range of measured values from 1,235 to 1,275.

Numbers in decimal notations are represented by the digits 0 to 9.

Numbers in hexadecimal notation are represented by the hexadecimal digits 0 to 9 and A to F in parentheses.

The setting of bits is denoted by ZERO and ONE.

Numbers in binary notations and bit patterns are represented by strings of digits 0 and 1, with the most significant bit shown to the left.

Negative values of numbers in binary notation are given as Two's complement.

In each field the data is recorded so that the most significant byte (MSB), identified as Byte 0, is recorded first and the least significant byte (LSB) last.

In a field of $8n$ bits, bit $b_{(8n-1)}$ shall be the most significant bit (msb) and bit b_0 the least significant bit (lsb). Bit $b_{(8n-1)}$ is recorded first.

5.2 Names

The names of entities, e.g. specific tracks, fields, zones, etc. are given a capital initial.

6 List of acronyms

| | |
|---------|----------------------------------|
| BCA | Burst-Cutting Area |
| BP | Byte Position |
| BPF | Band Pass Filter |
| CLV | Constant Linear Velocity |
| CPR_MAI | Copyright Management Information |
| DCC | DC Component (suppress control) |
| DL | Dual Layer |
| DPD | Differential Phase Detection |
| DSV | Digital Sum Value |
| ECC | Error Correction Code |
| EDC | Error Detection Code |
| EQ | Equalizer |
| FWHM | Full Width at Half Maximum |
| HF | High Frequency |
| ID | Identification Data |
| IED | ID Error Detection (code) |
| IR | Index of Refraction |
| LPF | Low-Pass Filter |
| LSB | Least Significant Byte |

| | |
|-----------|------------------------------|
| MSB | Most Significant Byte |
| NRZ | Non Return to Zero |
| NRZI | Non Return to Zero Inverted |
| OTP | Opposite Track Path |
| PBS | Polarizing Beam Splitter |
| PE | Phase Encoding |
| PI | Parity (of the) Inner (code) |
| PLL | Phase-Locked Loop |
| PO | Parity (of the) Outer (code) |
| PTP | Parallel Track Path |
| PUH | Pick-Up Head |
| RIN | Relative Intensity Noise |
| RS | Reed-Solomon (code) |
| RZ | Return to Zero |
| SL | Single Layer |
| SYNC Code | Synchronisation Code |
| lsb | least significant bit |
| msb | most significant bit |

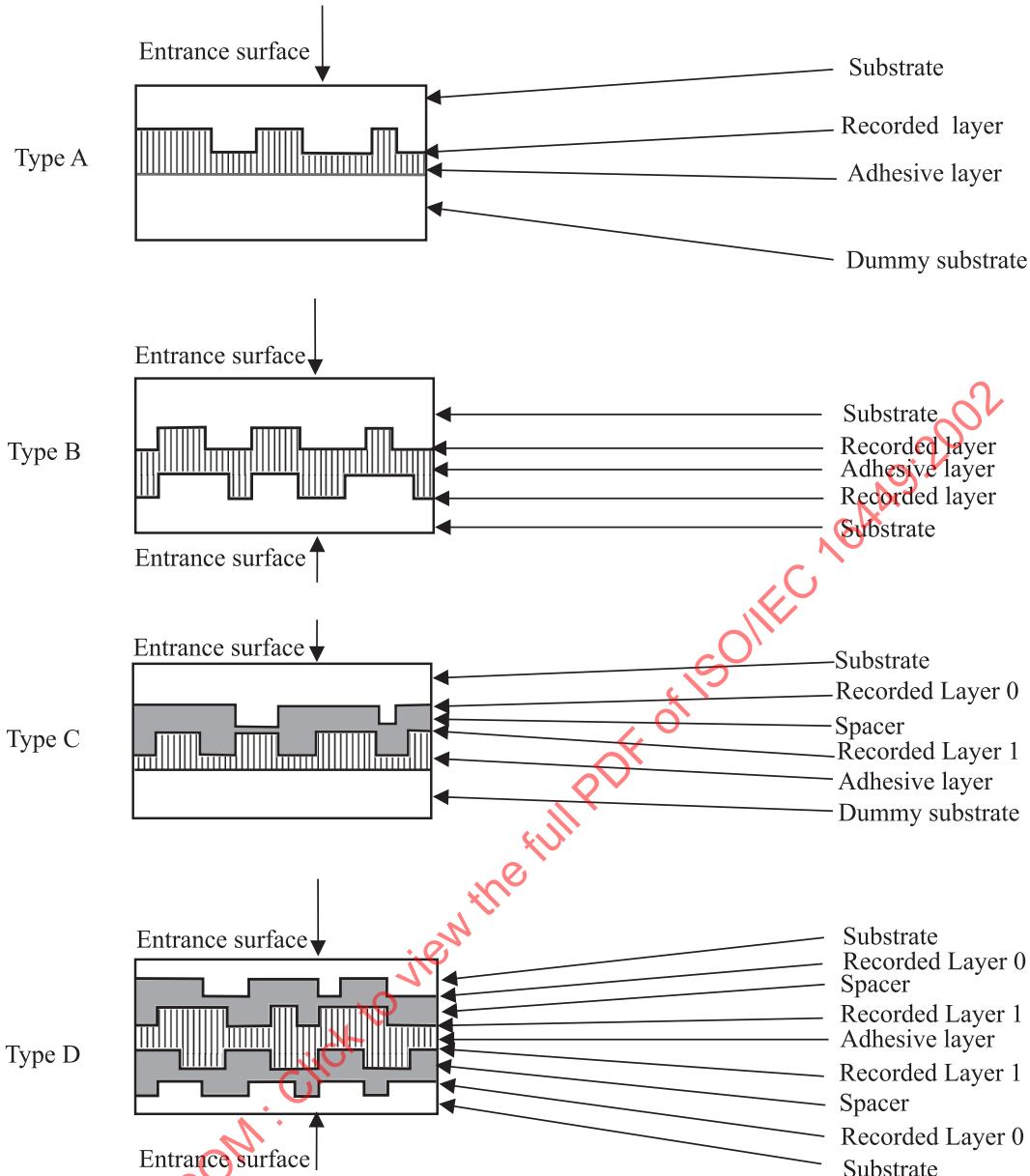
7 General description of the disk

The optical disk that is the subject of this International Standard consists of two substrates bonded together by an adhesive layer, so that the recorded layers are on the inside (See annex N). The centring of the disk is performed on the edge of the centre hole of the assembled disk on the side currently read. Clamping is performed in the Clamping Zone. This International Standard specifies the following Types.

- Type A** consists of a substrate, a single recorded layer and a dummy substrate. The recorded layer can be accessed from one side only. The nominal capacity is 1,46 Gbytes.
- Type B** consist of two substrates, and two recorded layers. From one side of the disk, only one of these recorded layers can be accessed. The nominal capacity is 2,92 Gbytes.
- Type C** consists of a substrate, a dummy substrate and two recorded layers with a spacer between them. Both recorded layers can be accessed from one side only. The nominal capacity is 2,66 Gbytes.
- Type D** consists of two substrates, each having two recorded layers with a spacer between these two recorded layers. From one side of the disk, only one pair of recorded layers can be accessed. The nominal capacity is 5,32 Gbytes.

Figure 1 shows schematically these four Types. Types A and B are Single Layer (SL) disks and Types C and D are Dual Layer (DL) disks. The two layers of DL disks are identified as Layer 0 and Layer 1. Layer 0 is the layer nearer to the entrance surface. Types A and C are 1-sided disks, Types B and D are 2-sided disks.

In Type C the function of the adhesive layer can be provided by the spacer between the two recorded layers where Layer 1 is placed, for instance embossed, on the dummy substrate.



96-0296-A

Figure 1 - Types of 80 mm DVD - Read-Only disks

8 General requirements

8.1 Environments

8.1.1 Test environment

The test environment is the environment where the air immediately surrounding the disk has the following properties.

a) For dimensional measurements

| | |
|----------------------|--|
| temperature | : $23^{\circ}\text{C} \pm 2^{\circ}\text{C}$ |
| relative humidity | : 45 % to 55 % |
| atmospheric pressure | : 86 kPa to 106 kPa |

b) For other measurements

| |
|-------------------|
| 15 °C to 35 °C |
| 45 % to 75 % |
| 86 kPa to 106 kPa |

Unless otherwise stated, all tests and measurements shall be made in this test environment.

8.1.2 Operating environment

This International Standard requires that an optical disk which meets all mandatory requirements of this International Standard in the specified test environment provides data interchange over the specified ranges of environmental parameters in the operating environment.

Disks used for data interchange shall be operated under the following conditions, when mounted in the drive supplied with voltage and measured on the outside surface of the disk.

The disk exposed to storage conditions shall be conditioned in the operating environment for at least two hours before operating.

| | |
|------------------------------------|---|
| temperature | : -25 °C to 70 °C |
| relative humidity | : 3 % to 95 % |
| absolute humidity | : 0,5 g/m ³ to 60 g/m ³ |
| sudden change of temperature | : 50 °C max. |
| sudden change of relative humidity | : 30 % max. |

There shall be no condensation of moisture on the disk.

8.1.3 Storage environment

The storage environment is the environment where the air immediately surrounding the optical disk shall have the following properties.

| | |
|-----------------------------|---|
| temperature | : -20 °C to 50 °C |
| relative humidity | : 5 % to 90 % |
| absolute humidity | : 1 g/m ³ to 30 g/m ³ |
| atmospheric pressure | : 75 kPa to 106 kPa |
| temperature variation | : 15 °C /h max. |
| relative humidity variation | : 10 %/h max. |

8.1.4 Transportation

This International Standard does not specify requirements for transportation; guidance is given in annex P.

8.2 Safety requirements

The disk shall satisfy the requirements of Standard IEC 60950-1, when used in the intended manner or in any foreseeable use in an information system.

8.3 Flammability

The disk shall be made from materials that comply with the flammability class for HB materials, or better, as specified in Standard IEC 60950-1.

9 Reference measurement devices

The reference measurement devices shall be used for the measurements of optical parameters for conformance with this International Standard. The critical components of these devices have specific properties defined in this clause.

9.1 Pick Up Head (PUH)

The optical system for measuring the optical parameters is shown in figure 2. It shall be such that the detected light reflected from the entrance surface of the disk is minimized so as not influencing the accuracy of measurement. The combination of the polarizing beam splitter C with the quarter-wave plate D separates the incident optical beam and the beam reflected by the optical disk F. The beam splitter C shall have a p-s intensity/reflectance ratio of at least 100. Optics G generates an astigmatic difference and collimates the light reflected by the recorded layer of the optical disk F for astigmatic focusing and read-out. The position of the quadrant photo detector H shall be adjusted so that the light spot becomes a circle the centre of which coincides with the centre of the quadrant photo detector H when the objective lens is focused on the recorded layer. An example of such a photo detector H is shown in figure 2. The dimensions a and b equal M times 10 µm to 12 µm, where M is the transversal magnification factor from the disk to its conjugate plane near the quadrant photo detector H.

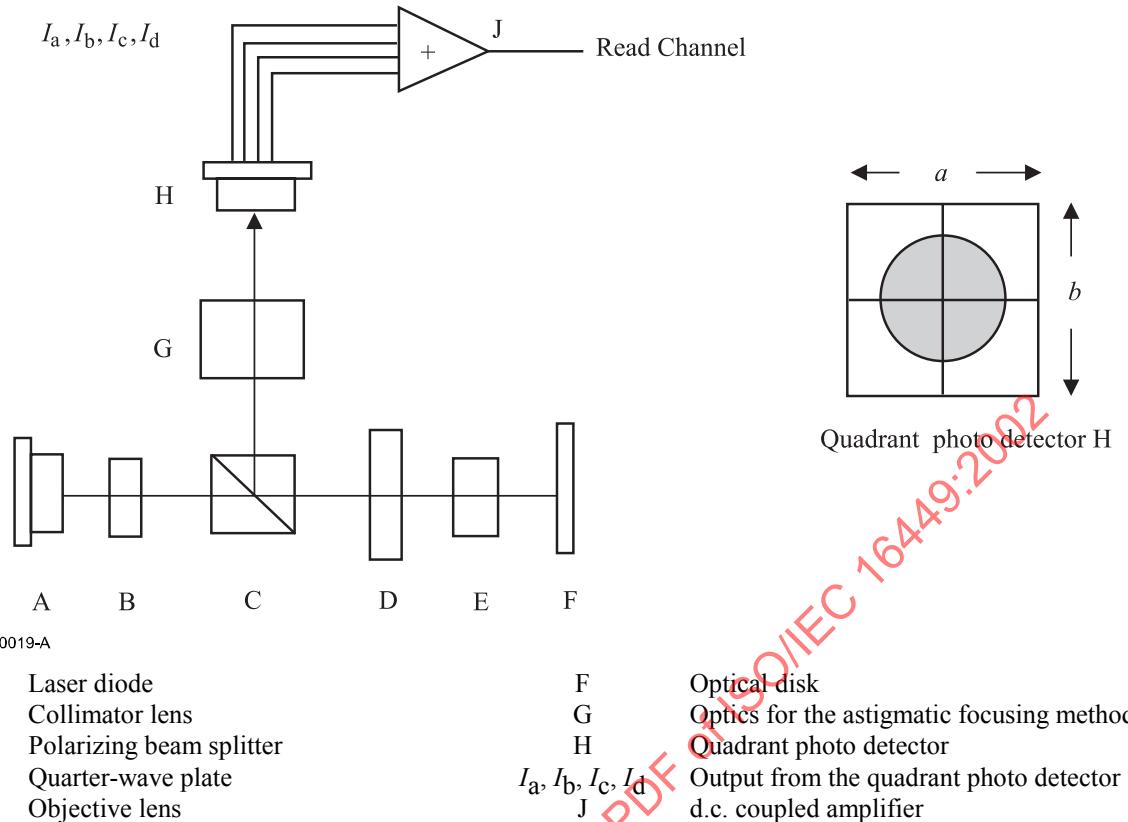


Figure 2 - Optical system for PUH

The characteristics of the PUH shall be as follows.

| | |
|--|---|
| Wavelength (λ) | $650 \text{ nm} \pm 5 \text{ nm}$ |
| Polarization | circularly polarized light |
| Polarizing beam splitter | shall be used unless otherwise stated |
| Numerical aperture | $0,60 \pm 0,01$ |
| Light intensity at the rim of the pupil of the objective lens | 60 % to 70 % of the maximum intensity level in radial direction, and over 90 % of the maximum intensity level in tangential direction |
| Wave front aberration after passing through an ideal substrate of the single layer disk (Thickness : 0,6 mm and index of refraction : 1,56) | $0,033 \lambda \text{ rms max.}$ |
| Normalized detector size on a disk | $100 \mu\text{m}^2 < S / M^2 < 144 \mu\text{m}^2$ where S is the total surface of the photo detector of the PUH |
| Relative intensity noise (RIN) | - 134 dB/Hz max. |
| $10 \log [(a.c. \text{ light power density /Hz}) / d.c. \text{ light power}]$ | |
| 9.2 Measurement conditions | |
| The measuring conditions for operational signals shall be as follows. | |
| Scanning velocity at a Channel bit rate of 26,15625 Mbits/s | for Single Layer disks: $3,49 \text{ m/s} \pm 0,03 \text{ m/s}$ for Dual Layer disks: $3,84 \text{ m/s} \pm 0,03 \text{ m/s}$ |
| Clamping force | $2,0 \text{ N} \pm 0,5 \text{ N}$ |

| | |
|--------------------------|---|
| Taper cone angle | $40,0^\circ \pm 0,5^\circ$, see annex E |
| CLV servo characteristic | f (-3 dB), closed loop bandwidth : 5 Hz |
| Focusing method | astigmatic method |
| Tracking method | differential phase detection |

9.3 Normalized servo transfer function

In order to specify the servo system for axial and radial tracking, a function H_s is used (equation I). It specifies the nominal values of the open-loop transfer function H of the Reference Servo(s) in the frequency range 23,1 Hz to 10 kHz.

$$H_s(i\omega) = \frac{1}{3} \times \left(\frac{\omega_0}{i\omega} \right)^2 \times \frac{1 + \frac{3i\omega}{\omega_0}}{1 + \frac{i\omega}{3\omega_0}} \quad (I)$$

where

$$\omega = 2\pi f$$

$$\omega_0 = 2\pi f_0$$

$$i = \sqrt{-1}$$

f_0 is the 0 dB crossover frequency of the open loop transfer function. The crossover frequencies of the lead-lag network of the servo are given by

| | |
|-----------------------|------------------------|
| lead break frequency: | $f_1 = f_0 \times 1/3$ |
| lag break frequency | $f_2 = f_0 \times 3$ |

9.4 Reference Servo for axial tracking

For an open loop transfer function H of the Reference Servo for axial tracking, $|1+H|$ is limited as schematically shown by the shaded surface of figure 3.

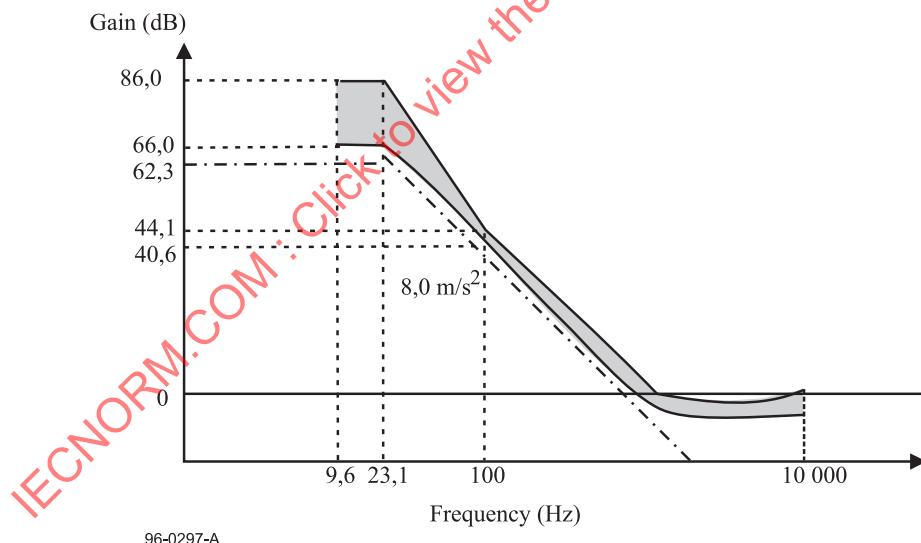


Figure 3 - Reference Servo for axial tracking

Bandwidth 100 Hz to 10 kHz

$|1+H|$ shall be within 20 % of $|1+H_s|$.

The crossover frequency $f_0 = \omega_0 / 2\pi$ shall be specified by equation (II), where α_{\max} shall be 1,5 times larger than the expected maximum axial acceleration of 8 m/s^2 . The tracking error e_{\max} shall not exceed $0,23 \mu\text{m}$. Thus the crossover frequency f_0 shall be

$$f_0 = \frac{1}{2\pi} \sqrt{\frac{3\alpha_{\max}}{e_{\max}}} = \frac{1}{2\pi} \sqrt{\frac{8 \times 1,5 \times 3}{0,23 \times 10^{-6}}} = 2,0 \text{ kHz} \quad (II)$$

The axial tracking error e_{\max} is the peak deviation measured axially above or below the 0 level.

Bandwidth 23,1 Hz to 100 Hz

$|1 + H|$ shall be within the limits defined by the following four points.

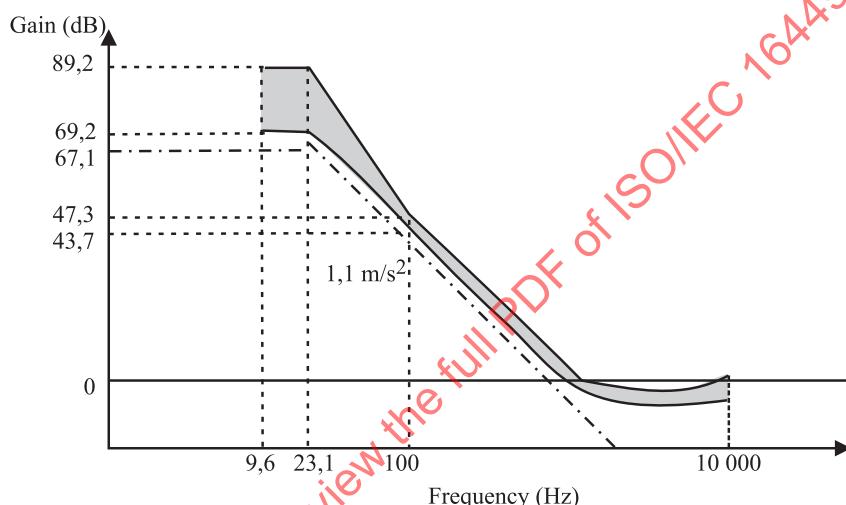
| | |
|--------------------|--|
| 40,6 dB at 100 Hz | ($ 1 + H_s - 20\%$ at 100 Hz) |
| 66,0 dB at 23,1 Hz | ($ 1 + H_s - 20\%$ at 23,1 Hz) |
| 86,0 dB at 23,1 Hz | ($ 1 + H_s - 20\%$ at 23,1 Hz add 20 dB) |
| 44,1 dB at 100 Hz | ($ 1 + H_s + 20\%$ at 100 Hz) |

Bandwidth 9,6 Hz to 23,1 Hz

$|1 + H|$ shall be between 66,0 dB and 86,0 dB.

9.5 Reference Servo for radial tracking

For an open-loop transfer function H of the Reference Servo for radial tracking, $|1+H|$ is limited as schematically shown by the shaded surface of figure 4.



96-0298-A

Figure 4 - Reference Servo for Radial Tracking

Bandwidth from 100 Hz to 10 kHz

$|1 + H|$ shall be within 20 % of $|1+H_s|$.

The crossover frequency $f_o = \omega_o / 2\pi$ shall be specified by equation (III), where α_{\max} shall be 1,5 times larger than the expected maximum radial acceleration of $1,1 \text{ m/s}^2$. The tracking error e_{\max} shall not exceed $0,022 \mu\text{m}$. Thus the crossover frequency f_o shall be

$$f_o = \frac{1}{2\pi} \sqrt{\frac{3\alpha_{\max}}{e_{\max}}} = \frac{1}{2\pi} \sqrt{\frac{1,1 \times 1,5 \times 3}{0,022 \times 10^{-6}}} = 2,4 \text{ kHz} \quad (\text{III})$$

The radial tracking error e_{\max} is the peak deviation measured radially inwards or outwards the 0 level.

Bandwidth from 23,1 Hz to 100 Hz

$|1 + H|$ shall be within the limits defined by the following four points.

| | |
|--------------------|--|
| 43,7 dB at 100 Hz | ($ 1 + H_s - 20\%$ at 100 Hz) |
| 69,2 dB at 23,1 Hz | ($ 1 + H_s - 20\%$ at 23,1 Hz) |
| 89,2 dB at 23,1 Hz | ($ 1 + H_s - 20\%$ at 23,1 Hz add 20 dB) |
| 47,3 dB at 100 Hz | ($ 1 + H_s + 20\%$ at 100 Hz) |

Bandwidth from 9,6 Hz to 23,1 Hz

$|1 + H|$ shall be between 69,2 dB and 89,2 dB.

Section 2 - Dimensional, mechanical and physical characteristics of the disk

10 Dimensional characteristics (figures 5 to 8)

Dimensional characteristics are specified for those parameters deemed mandatory for interchange and compatible use of the disk. Where there is freedom of design, only the functional characteristics of the elements described are indicated. The enclosed drawings show the dimensional requirements in summarized form. The different parts of the disk are described from the centre hole to the outside rim.

The dimensions are referred to two Reference Planes P and Q.

Reference Plane P is the primary Reference Plane. It is the plane on which the bottom surface of the Clamping Zone (see 10.4) rests.

Reference Plane Q is the plane parallel to Reference Plane P at the height of the top surface of the Clamping Zone.

10.1 Overall dimensions

The disk shall have an overall diameter

$$d_1 = 80,00 \text{ mm} \pm 0,30 \text{ mm}$$

The centre hole of a substrate or a dummy substrate shall have a diameter

$$+ 0,15 \text{ mm}$$

$$d_2 = 15,00 \text{ mm}$$

$$- 0,00 \text{ mm}$$

The diameter of the hole of an assembled disk, i.e. with both parts bonded together, shall be 15,00 mm min., see figure 6.

There shall be no burr on both edges of the centre hole.

The edge of the centre hole shall be rounded off or chamfered. The rounding radius shall be 0,1 mm max. The chamfer shall extend over a height of 0,1 mm max.

The thickness of the disk, including adhesive layer, spacer(s) and label(s), shall be

$$+ 0,30 \text{ mm}$$

$$e_1 = 1,20 \text{ mm}$$

$$- 0,06 \text{ mm}$$

10.2 First transition area

In the area defined by d_2 and

$$d_3 = 16,0 \text{ mm min.}$$

the surface of the disk is permitted to be above Reference Plane P and/or below Reference Plane Q by 0,10 mm max.

10.3 Second transition area

This area shall extend between diameter d_3 and diameter

$$d_4 = 22,0 \text{ mm max.}$$

In this area the disk may have an uneven surface or burrs up to 0,05 mm max. beyond Reference Planes P and/or Q.

10.4 Clamping Zone

This zone shall extend between diameter d_4 and diameter

$$d_5 = 33,0 \text{ mm min.}$$

Each side of the Clamping Zone shall be flat within 0,1 mm. The top side of the Clamping Zone, i.e. that of Reference Plane Q shall be parallel to the bottom side, i.e. that of Reference Plane P within 0,1 mm.

In the Clamping Zone the thickness e_2 of the disk shall be

$$+ 0,20 \text{ mm}$$

$$e_2 = 1,20 \text{ mm}$$

$$- 0,10 \text{ mm}$$

10.5 Third transition area

This area shall extend between diameter d_5 and diameter

$d_6 = 44,0$ mm max.

In this area the top surface is permitted to be above Reference Plane Q by

$h_1 = 0,25$ mm max.

or below Reference Plane Q by

$h_2 = 0,10$ mm max.

The bottom surface is permitted to be above Reference Plane P by

$h_3 = 0,10$ mm max

or below Reference Plane P by

$h_4 = 0,25$ mm max.

10.6 Information Zone

The Information Zone shall extend from the beginning of the Lead-in Zone to diameter d_{10} the value of which is specified in table 1.

In the Information Zone the thickness of the disk shall be equal to e_1 specified in 10.1.

10.6.1 Sub-divisions of the Information Zone

The main parts of the Information Zone are

- the Lead-in Zone
- the Data Zone
- the Lead-out Zone

The area extending from d_6 to diameter

$d_7 = 45,2$ mm max.

shall be used as follows

- it is the beginning of the Lead-in Zone for Types A and B, and each pair of layers for Type C and D in PTP mode and on Layer 0 in OTP mode,
- it is the end of the Lead-out Zone on Layer 1 for Types C and D in OTP mode.

In the first case, the Lead-in Zone shall end at diameter

+ 0,0 mm

$d_8 = 48,0$ mm

- 0,4 mm

which is the beginning of the Data Zone.

In the second case the Data Zone shall not extend toward the centre of the disk beyond d_8 . The Lead-out Zone shall start after the Data Zone and end between diameters d_6 and d_7 .

The Data Zone shall start after the Lead-in Zone at diameter d_8 , it shall extend up to diameter

$d_9 = 76,0$ mm max.

The zone between diameters d_9 and d_{10} constitutes the Lead-out Zone in the cases Types A and B, and Types C and D in PTP mode and the Middle Zone in the case of Types C and D in OTP mode.

The Lead-out Zone in PTP mode and the Middle Zone shall start after the Data Zone and end at diameter d_{10} the value of which depends on the length of the Data Zone as shown in table 1.

Table 1 - End of the Information Zone

| Length of the Data Zone | Value of diameter d_{10} |
|-------------------------|----------------------------------|
| Less than 68,0 mm | 70,0 mm min. |
| 68,0 mm to 75,0 mm | Data Zone diameter + 2,0 mm min. |
| 75,0 mm to 76,0 mm | 77,0 mm min. |

The zone extending from d_{11} to d_{12} shall be used for the Burst Cutting Area, if implemented (see annex H).

10.6.2 Track geometry

In the Information Zone tracks are constituted by a 360° turn of a spiral.

The track pitch shall be $0,74 \mu\text{m} \pm 0,03 \mu\text{m}$.

The track pitch averaged over the Data Zone shall be $0,74 \mu\text{m} \pm 0,01 \mu\text{m}$.

10.6.3 Track modes

Tracks can be recorded in two different modes called Parallel Track Path (PTP) and Opposite Track Path (OTP). Figure 5 shows examples of the PTP and OTP modes. In practice, the lengths of the Data Zones of both layers are independent from each other.

Types A and B shall be recorded in PTP mode only.

Types C and D may be recorded in either modes.

In PTP mode, tracks are read from the inside diameter of the Information Zone to its outside diameter, this applies to both Layer 0 and Layer 1 for Types C and D, see figure 5a. On both layers, the track spiral is turning from the inside to the outside.

In OTP mode, tracks are read starting on Layer 0 at the inner diameter of the Information Zone, continuing on Layer 1 from the outer diameter to the inner diameter. Thus, there is a Middle Zone at the outer diameter on both layers, see figure 5b. The track spiral is turning from the inside to the outside on Layer 0 and in the reverse direction on Layer 1.

The radial misalignment of the outer edge of the Information Zones between Layer 0 and Layer 1 shall be 0,5 mm max.

In OTP mode, the radial misalignment between the outer edge of the Data Zones of Layer 0 and Layer 1 shall be 0,5 mm max.

10.6.4 Channel bit length

The Information Zone shall be recorded in CLV mode. The Channel bit length averaged over the Data Zone shall be

- $133,3 \text{ nm} \pm 1,4 \text{ nm}$ for Type A and Type B,
- $146,7 \text{ nm} \pm 1,5 \text{ nm}$ for Type C and Type D

10.7 Rim area

The rim area shall be that area extending from diameter d_{10} to diameter d_1 (see figure 8). In this area the top surface is permitted to be above Reference Plane Q by

$h_5 = 0,1 \text{ mm}$ max.

and the bottom surface is permitted to be below Reference Plane P by

$h_6 = 0,1 \text{ mm}$ max.

The total thickness of this area shall not be greater than 1,50 mm , i.e. the maximum value of e_1 . The thickness of the rim proper shall be

$e_3 = 0,6 \text{ mm}$ min.

The outer edges of the disk shall be either rounded off with a rounding radius of 0,2 mm max. or be chamfered over

$h_7 = 0,2 \text{ mm}$ max.

$h_8 = 0,2 \text{ mm}$ max.

10.8 Remark on tolerances

All heights specified in the preceding clauses and indicated by h_i are independent from each other. This means that, for example, if the top surface of the third transition area is below Reference Plane Q by up to h_2 , there is no implication that the bottom surface of this area has to be above Reference Plane P by up to h_3 . Where dimensions have the same - generally maximum - numerical value, this does not imply that the actual values have to be identical.

10.9 Runout

10.9.1 Axial runout

When measured by the PUH with the Reference Servo for axial tracking, the disk rotating at the scanning velocity, the deviation of the recorded layer from its nominal position in the direction normal to the Reference Planes shall not exceed 0,2 mm.

The residual tracking error below 10 kHz, measured using the Reference Servo for axial tracking, shall be less than 0,23 μm . The measuring filter shall be a Butterworth LPF, f_c (-3dB) : 10 kHz, slope : -80 dB/decade.

10.9.2 Radial runout

The runout of the outer edge of the disk shall be less than 0,3 mm, peak-to-peak.

The radial runout of tracks shall be less than 100 μm , peak-to-peak.

The residual tracking error below 1,1 kHz, measured using the Reference Servo for radial tracking, shall be less than 0,022 μm . The measuring filter shall be a Butterworth LPF, f_c (-3dB) : 1,1 kHz, slope : -80 dB/decade.

The rms noise value of the residual error signal in the frequency band from 1,1 kHz to 10 kHz, measured with an integration time of 20 ms, using the Reference Servo for radial tracking, shall be less than 0,016 μm . The measuring filter shall be a Butterworth BPF, frequency range (-3dB) : 1,1 kHz, slope : +80 dB/decade to 10 kHz, slope : -80 dB/decade.

10.10 Label

The label shall be placed on the side of the disk opposite the entrance surface for the information to which the label is related. The label shall be placed either on an outer surface of the disk or inside the disk bonding plane. In the former case, the label shall not extend over the Clamping Zone. In the latter case, the label may extend over the Clamping Zone. In both cases, the label shall not extend over the rim of the centre hole nor over the outer edge of the disk.

11 Mechanical parameters

11.1 Mass

The mass of the disk shall be in the range 6 g to 9 g.

11.2 Moment of inertia

The moment of inertia of the disk, relative to its rotation axis, shall not exceed 0,010 $\text{g}\cdot\text{m}^2$.

11.3 Dynamic imbalance

The dynamic imbalance of the disk, relative to its rotation axis, shall not exceed 0,0045 $\text{g}\cdot\text{m}$.

11.4 Sense of rotation

The sense of rotation of the disk shall be counterclockwise as seen by the optical system.

12 Optical parameters

12.1 Index of refraction

The index of refraction IR of the transparent substrate shall be $1,55 \pm 0,10$.

The index of refraction of the spacer shall be ($\text{IR} \pm 0,10$).

12.2 Thickness of the transparent substrate

The thickness of the transparent substrate is specified as a function of its index of refraction.

Figure 9 specifies it for Types A and B and figure 10 for Types C and D.

12.3 Thickness of the spacer of Types C and D

For Types C and D, the thickness of the spacer shall be $55 \mu\text{m} \pm 15 \mu\text{m}$. Annex K shows two ways of measuring this thickness. On a disk, this thickness shall not vary by more than 20 μm . Within one revolution, it shall not vary by more than 8 μm .

12.4 Angular deviation

The angular deviation is the angle α between a parallel incident beam and the reflected beam. The incident beam shall have a diameter in the range 0,3 mm to 3,0 mm. This angle includes deflection due to the entrance surface and to unparallelism of the recorded layer, see figure A.1. It shall meet the following requirements when measured according to annex A.

In radial direction : $\alpha = 0,80^\circ$ max.

In tangential direction : $\alpha = 0,30^\circ$ max.

12.5 Birefringence of the transparent substrate

The birefringence of the transparent substrate shall be 100 nm max. when measured according to annex B.

12.6 Reflectivity

When measured according to annex D, the reflectivity of the recorded layer(s) shall be

Types A and B : 45 % to 85 % (PUH with PBS)

Types A and B: 60 % to 85 % (PUH without PBS)

Types C and D : 18% to 30 % (PUH with PBS)

Types C and D 18 % to 30 % (PUH without PBS)

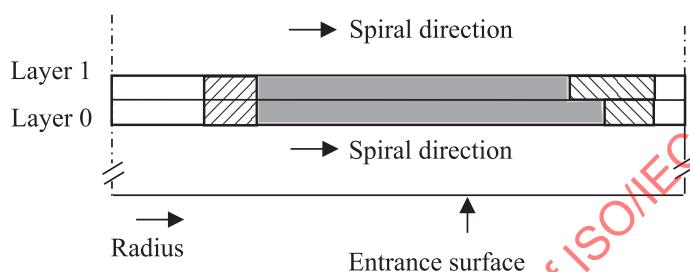


Figure 5a - Parallel Track Path (PTP)

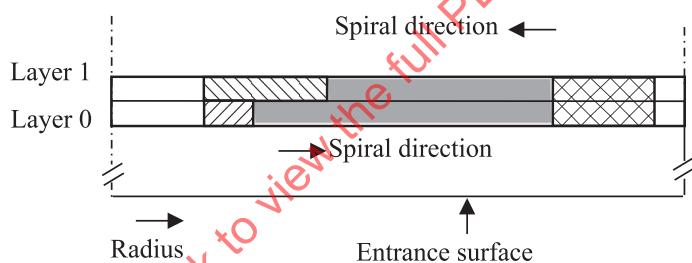


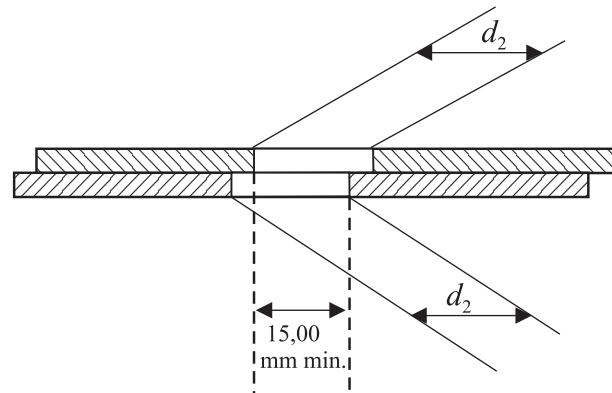
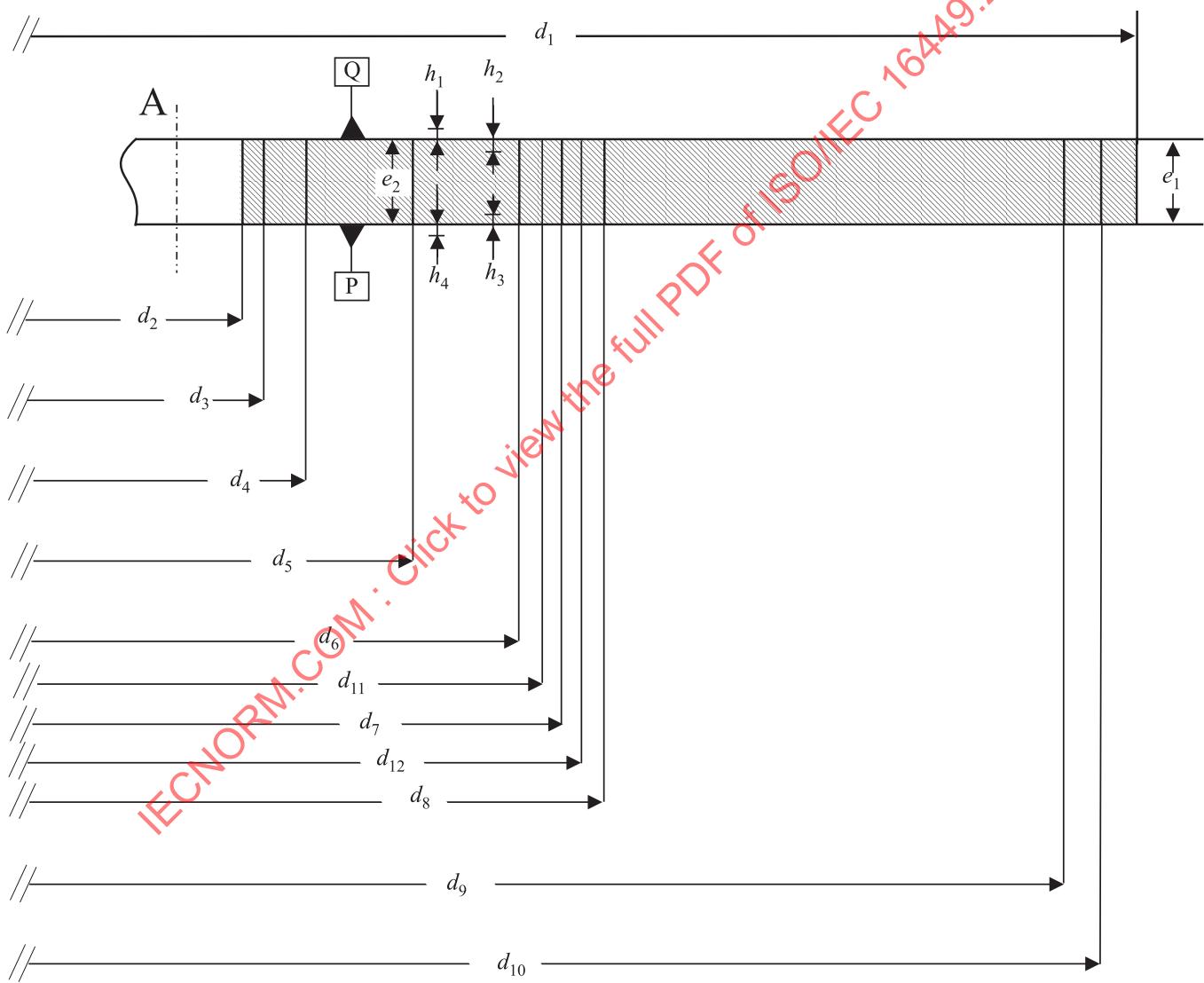
Figure 5b - Opposite Track Path (OTP)

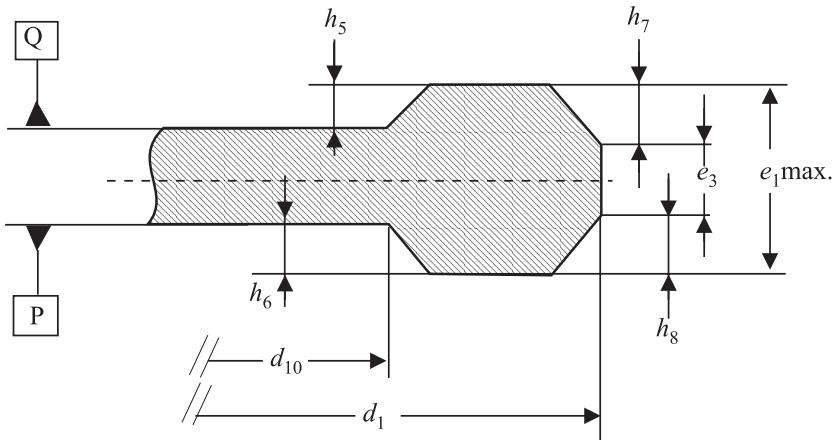
Layer 0 = The layer closer to the entrance surface

Layer 1 = The layer farther from the entrance surface

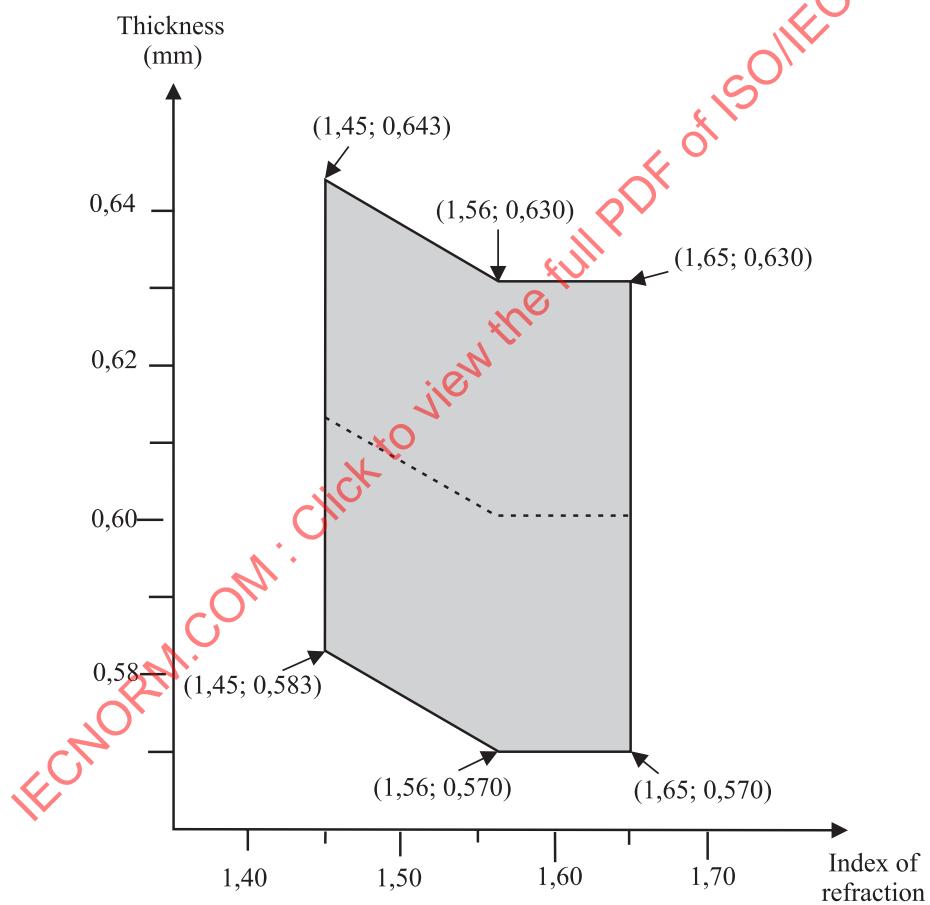
| | |
|-----------------|--|
| Data Zone : | |
| Lead-in Zone : | |
| Middle Zone : | |
| Lead-out Zone : | |

Figure 5 - Examples of track paths for Types C and D

**Figure 6 - Hole of the assembled disk****Figure 7 - Areas of the disk**

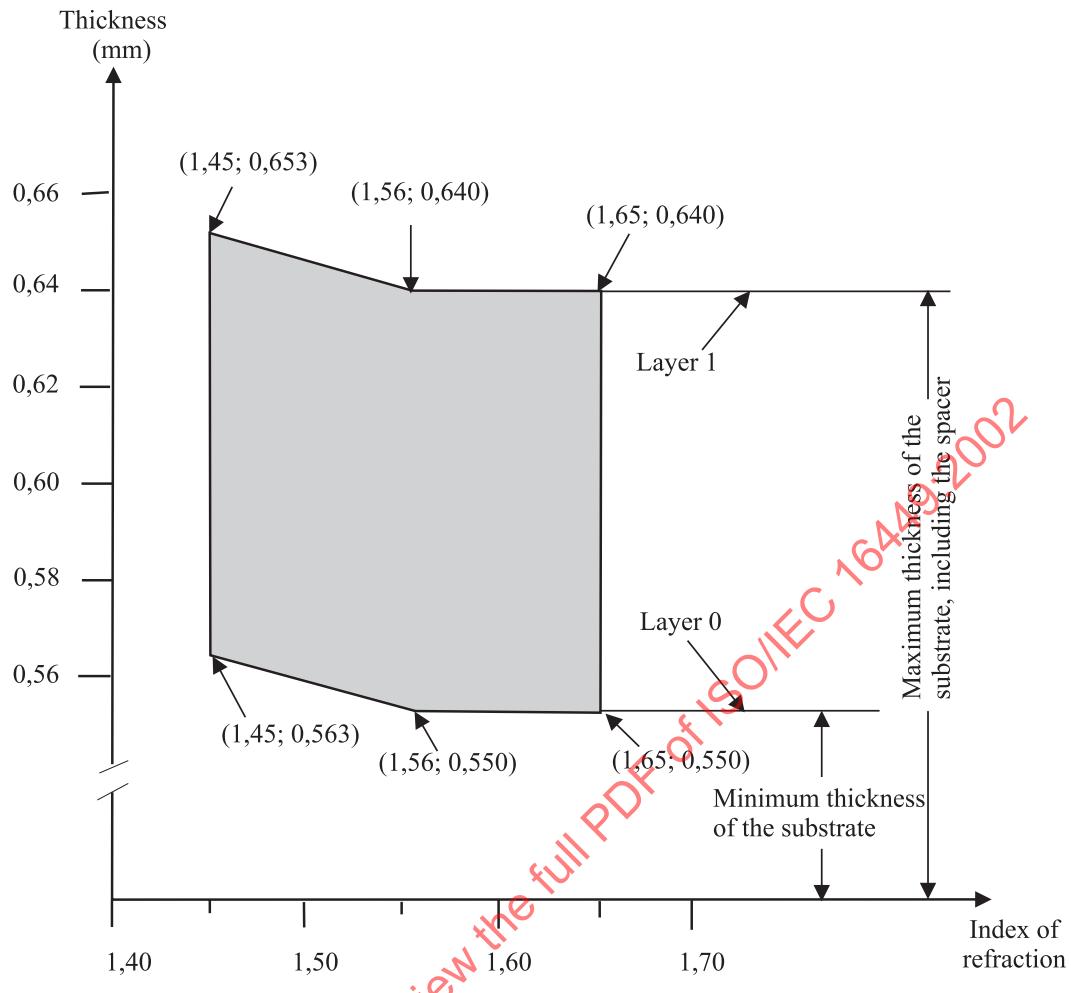


96-0292-A

Figure 8 - Rim area

96-0300-A

Figure 9 - Thickness of the substrate for Types A and B



96-0301-A

Figure 10 - Thickness of the substrate and spacer for Types C and D

Section 3 - Operational Signals

13 High frequency signals (HF)

The HF signal is obtained by summing the currents of the four elements of the photo detector. These currents are modulated by diffraction of the light beam at the pits representing the information on the recorded layer. Measurements, except for jitter, are executed to HF before equalizing.

13.1 Modulated amplitude

The modulated amplitude I_{14} is the peak-to-peak value generated by the largest pit and land length (figure 11).

The peak value I_{14H} shall be the peak value corresponding to the HF signal before high-pass filtering.

The peak-to-peak value of the shortest pit and land length shall be I_3 .

The 0 Level is the signal level obtained from the measuring device when no disk is inserted.

These parameters shall meet the following requirements.

$$I_{14} / I_{14H} = 0,60 \text{ min.}$$

$$I_3 / I_{14} = 0,15 \text{ min. for Types A and B}$$

$$I_3 / I_{14} = 0,20 \text{ min. for Types C and D}$$

The maximum value of $(I_{14Hmax} - I_{14Hmin}) / I_{14Hmax}$ shall be as specified by table 2.

Table 2 - Maximum value of $(I_{14Hmax} - I_{14Hmin}) / I_{14Hmax}$

| | Within one recorded side of a disk | Within one revolution |
|---|---|------------------------------|
| PUH with PBS | 0,33 | 0,15 |
| PUH without PBS with circular polarization | 0,20 | 0,10 |

13.2 Signal asymmetry

The signal asymmetry shall meet the following requirement, see figure 11.

$$-0,05 \leq [(I_{14H} + I_{14L}) / 2 - (I_{3H} + I_{3L}) / 2] / I_{14} \leq 0,15$$

where

- $(I_{14H} + I_{14L}) / 2$ is the centre level of I_{14}
- $(I_{3H} + I_{3L}) / 2$ is the centre level of I_3 .

13.3 Cross-track signal

The cross-track signal shall be derived from the HF signal when low-pass filtered with a cut-off frequency of 30 kHz when the light beam crosses the tracks (see figure 12). The low-pass filter is a 1st order filter. The cross-track signal shall meet the following requirements.

$$I_T = I_H - I_L$$

$$I_T / I_H = 0,10 \text{ min.}$$

where I_H is the peak value of this signal and I_T is the peak-to-peak value.

13.4 Quality of signals

13.4.1 Jitter

Jitter is the standard deviation σ of the time variation of the digitized data passed through the equalizer. The jitter of the leading and trailing edges is measured to the PLL clock and normalized by the Channel bit clock period.

Jitter shall be less than 8,0 % of the Channel bit clock period, when measured according to annex F.

13.4.2 Random errors

A row of an ECC Block (see clause 18) that has at least 1 byte in error constitutes a PI error. In any 8 consecutive ECC Blocks the total number of PI errors before correction shall not exceed 280.

13.4.3 Defects

Defects are air bubbles and black spots. Their diameter shall meet the following requirements

- for air bubbles it shall not exceed 100 μm ,
- for black spots causing birefringence it shall not exceed 200 μm ,
- for black spots not causing birefringence it shall not exceed 300 μm .

In addition, over a distance of 80 mm in scanning direction of tracks, the following requirements shall be met

- the total length of defects larger than 30 μm shall not exceed 300 μm ,
- there shall be at most 6 such defects.

14 Servo signals

The output currents of the four quadrants of the split photo detector shown in figure 13 are identified by I_a , I_b , I_c and I_d .

14.1 Differential phase tracking error signal

The differential phase tracking error signal shall be derived from the phase difference between diagonal pairs of detectors elements when the light beam crosses the tracks : Phase $(I_a + I_c)$ - Phase $(I_b + I_d)$, see figure 13. The differential phase tracking error signal shall be low-pass filtered with a cut-off frequency of 30 kHz, see annex C. This signal shall meet the following requirements (see figure 14).

Amplitude

At the positive 0 crossing $\overline{\Delta t} / T$ shall be in the range 0,5 to 1,1 at 0,10 μm radial offset, where $\overline{\Delta t}$ is the average time difference derived from the phase difference between diagonal pairs of detector elements, and T is the Channel bit clock period

Asymmetry (figure 14)

The asymmetry shall meet the following requirement.

$$\frac{|T_1 - T_2|}{|T_1 + T_2|} \leq 0,2$$

where

- T_1 is the positive peak value of $\overline{\Delta t} / T$
- T_2 is the negative peak value of $\overline{\Delta t} / T$

14.2 Tangential push-pull signal

This signal shall be derived from the instantaneous level of the differential output $(I_a + I_d) - (I_b + I_c)$. It shall meet the following requirement, see figure 15.

$$0 \leq \frac{[(I_a + I_d) - (I_b + I_c)]_{\text{pp}}}{I_{14}} \leq 0,9$$

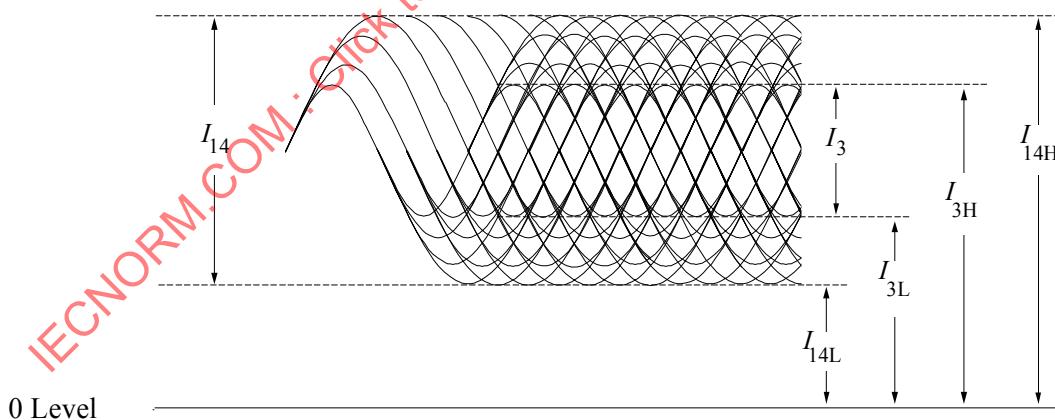
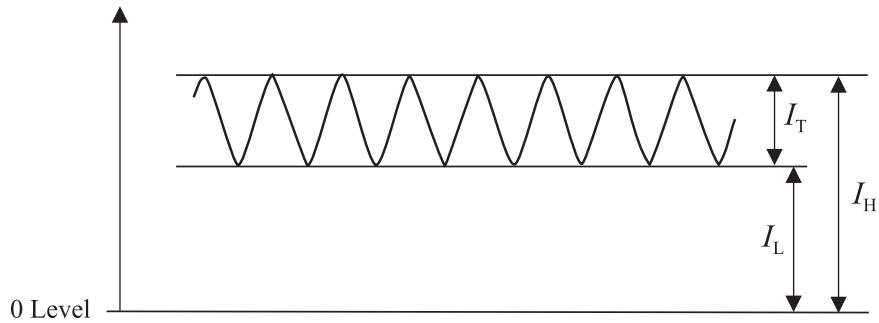
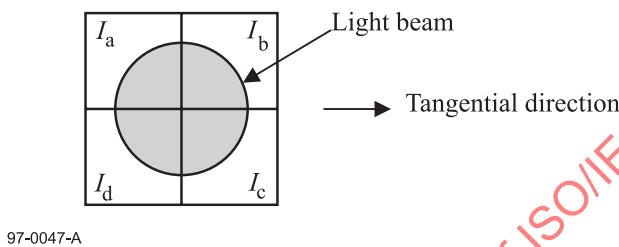


Figure 11 - Modulated amplitude

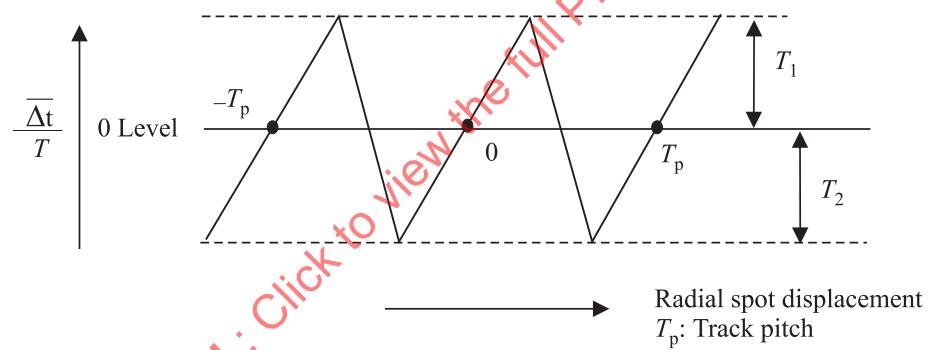
97-0002-A



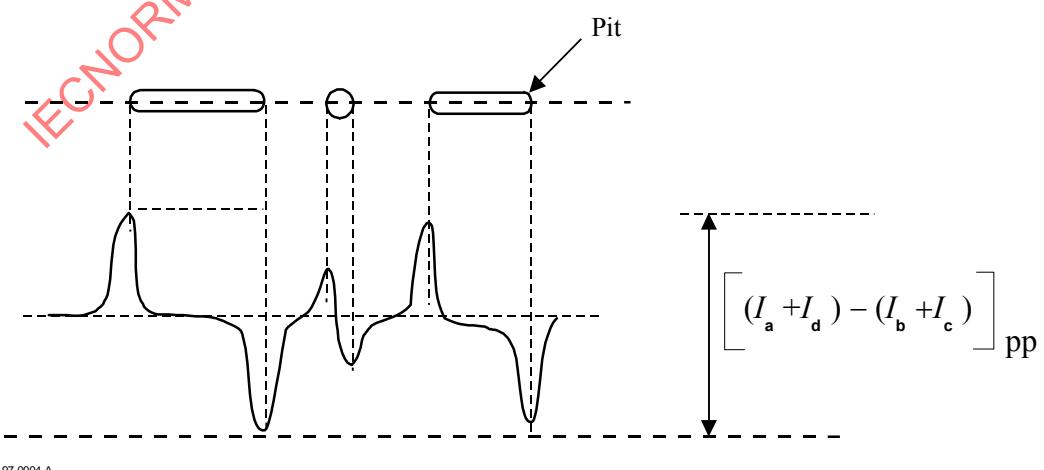
97-0003-A

Figure 12 - Cross-track signal

97-0047-A

Figure 13 - Quadrant photo detector

97-0005-A

Figure 14 - Differential phase tracking error signal

97-0004-A

Figure 15 - Tangential push-pull signal

Section 4 - Data Format

15 General

The data received from the host, called Main Data, are formatted in a number of steps before being recorded on the disk. They are transformed successively into

- a Data Frame,
- a Scrambled Frame,
- an ECC Block,
- a Recording Frame,
- a Physical Sector

These steps are specified in the following clauses.

16 Data Frames (figure 16)

A Data Frame shall consist of 2 064 bytes arranged in an array of 12 rows each containing 172 bytes (figure 16). The first row shall start with three fields, called Identification Data (ID), the check bytes of the ID Error Detection Code (IED), and Copyright Management Information (CPR_MAI), followed by 160 Main Data bytes. The next 10 rows shall each contain 172 Main Data bytes, and the last row shall contain 168 Main Data bytes followed by four bytes for recording the check bits of an Error Detection Code (EDC). The 2 048 Main Data bytes are identified as D₀ to D_{2 047}.

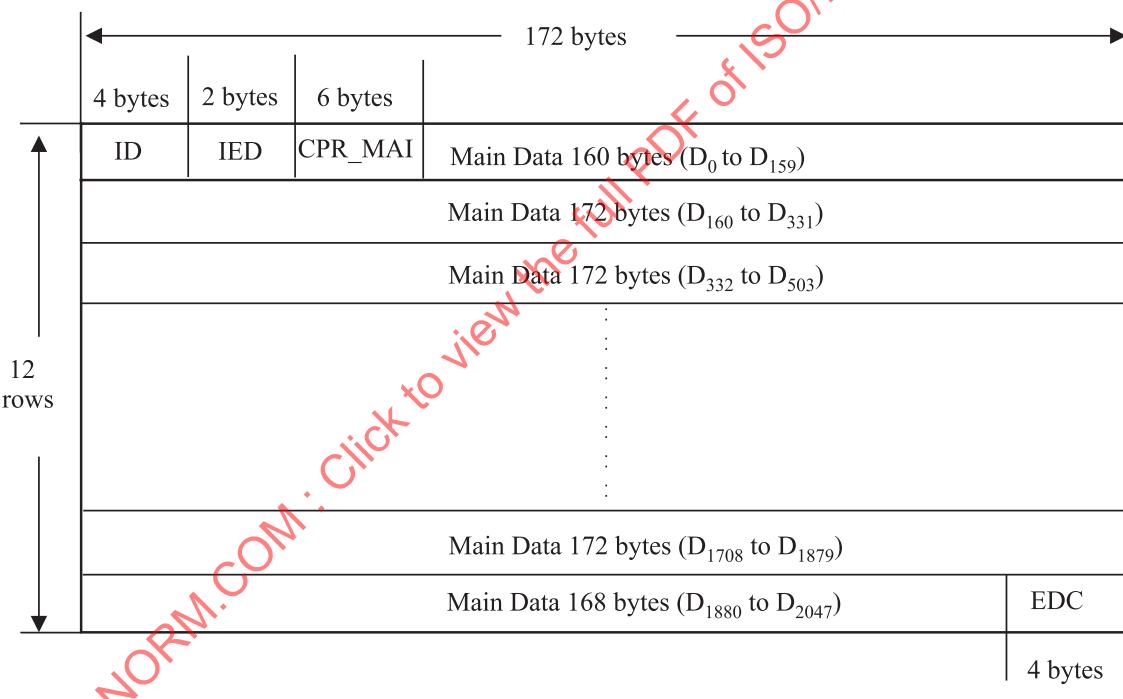


Figure 16 - Data Frame

16.1 Identification Data (ID)

This field shall consist of four bytes the bits of which are numbered consecutively from b₀ (lsb) to b₃₁ (msb), see figure 17.

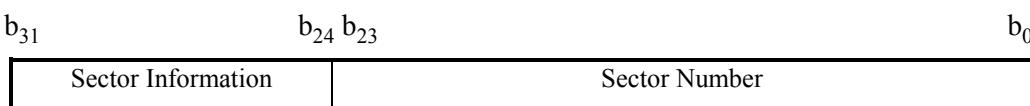


Figure 17 - Identification Data (ID)

| b ₃₁ | b ₃₀ | b ₂₉ | b ₂₈ | b ₂₇ and b ₂₆ | b ₂₅ | b ₂₄ |
|--------------------|-----------------|-----------------|-----------------|-------------------------------------|-----------------|-----------------|
| Sector Format type | Tracking method | Reflectivity | Reserved | Zone type | Data type | Layer number |

Figure 18 - Sector Information of the Identification Data (ID)

The least significant three bytes, bits b₀ to b₂₃, shall specify the sector number in binary notation. The sector number of the first sector of an ECC Block of 16 sectors shall be a multiple of 16.

The bits of the most significant byte, the Sector Information, shall be set as follows.

| | |
|--|---|
| Bit b ₂₄ | shall be set to |
| | ZERO on Layer 0 of DL disks ONE on Layer 1 of DL disks ZERO on SL disks |
| Bit b ₂₅ | shall be set to ZERO, indicating read-only data |
| Bits b ₂₆ and b ₂₇ | shall be set to |
| | ZERO ZERO in the Data Zone ZERO ONE in the Lead-in Zone ONE ZERO in the Lead-out Zone ONE ONE in the Middle Zone |
| Bit b ₂₈ | shall be set to ZERO |
| Bit b ₂₉ | shall be set to |
| | ZERO if the reflectivity is greater than 40 % with PBS PUH ONE if the reflectivity is 40 % max. with PBS PUH |
| Bit b ₃₀ | shall be set to ZERO, indicating pit tracking |
| Bit b ₃₁ | shall be set to ZERO, indicating the CLV format for read-only disks |

Other settings are prohibited by this International Standard.

16.2 ID Error Detection Code (IED)

When identifying all bytes of the array shown in figure 16 as C_{i,j} for i = 0 to 11 and j = 0 to 171, the check bytes of IED are represented by C_{0,j} for j = 4 to 5. Their setting is obtained as follows.

$$\text{IED}(x) = \sum_{j=4}^5 C_{0,j} x^{5-j} = I(x) x^2 \bmod G_E(x)$$

where

$$I(x) = \sum_{j=0}^3 C_{0,j} x^{3-j}$$

$$G_E(x) = \prod_{k=0}^1 (x + \alpha^k)$$

α is the primitive root of the primitive polynomial P(x) = x⁸ + x⁴ + x³ + x² + 1

16.3 Copyright Management Information (CPR_MAI)

This field shall consist of 6 bytes. Their setting is application-dependent, for instance a video application. If this setting is not specified by the application, the default setting shall be to set all bytes to all ZEROS.

16.4 Error Detection Code (EDC)

This 4-byte field shall contain the check bits of an Error Detection Code computed over the preceding 2 060 bytes of the Data Frame. Considering the Data Frame as a single bit field starting with the most significant bit of the first byte of the ID field and ending with the least significant bit of the EDC field, then this msb will be $b_{16\ 511}$ and the lsb will be b_0 . Each bit b_i of the EDC is as follows for $i = 31$ to 0 :

$$\text{EDC}(x) = \sum_{i=31}^0 b_i x^i = I(x) \bmod G(x)$$

where

$$I(x) = \sum_{i=16\ 511}^{32} b_i x^i$$

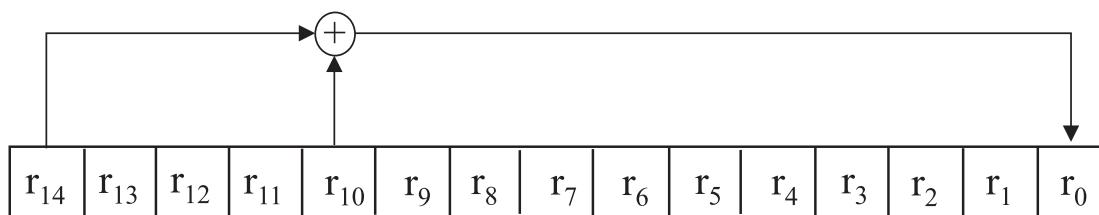
$$G(x) = x^{32} + x^{31} + x^4 + 1$$

17 Scrambled Frames

The 2 048 Main Data bytes shall be scrambled by means of the circuit shown in figure 19 which shall consist of a feedback bit shift register in which bits r_7 (msb) to r_0 (lsb) represent a scrambling byte at each 8-bit shift. At the beginning of the scrambling procedure of a Data Frame, positions r_{14} to r_0 shall be pre-set to the value(s) specified in table 3. The same pre-set value shall be used for 16 consecutive Data Frames. After 16 groups of 16 Data Frames, the sequence is repeated. The initial pre-set number is equal to the value represented by bits b_7 (msb) to bit b_4 (lsb) of the ID field of the Data Frame. Table 3 specifies the initial pre-set value of the shift register corresponding to the 16 initial pre-set numbers.

Table 3 - Initial values of the shift register

| Initial pre-set number | Initial pre-set value | Initial pre-set number | Initial pre-set value |
|------------------------|-----------------------|------------------------|-----------------------|
| (0) | (0001) | (8) | (0010) |
| (1) | (5500) | (9) | (5000) |
| (2) | (0002) | (A) | (0020) |
| (3) | (2A00) | (B) | (2001) |
| (4) | (0004) | (C) | (0040) |
| (5) | (5400) | (D) | (4002) |
| (6) | (0008) | (E) | (0080) |
| (7) | (2800) | (F) | (0005) |



97-0021-A

Figure 19 - Feedback shift register

The part of the initial value of r_7 to r_0 is taken out as scrambling byte S_0 . After that, 8-bit shift is repeated 2 047 times and the following 2 047 bytes shall be taken from r_7 to r_0 as scrambling bytes S_1 to $S_{2\ 047}$. The Main Data bytes D_k of the Data Frame become scrambled bytes D'_k where

$$D'_k = D_k \oplus S_k \quad \text{for } k = 0 \text{ to } 2\ 047$$

\oplus stands for Exclusive OR

18 ECC Blocks

An ECC Block is formed by arranging 16 consecutive Scrambled Frames in an array of 192 rows of 172 bytes each (figure 20). To each of the 172 columns, 16 bytes of Parity of Outer Code are added, then, to each of the resulting 208 rows, 10 bytes of Parity of Inner Code are added. Thus a complete ECC Block comprises 208 rows of 182 bytes each. The bytes of this array are identified as $B_{i,j}$ as follows, where i is the row number and j the column number.

$B_{i,j}$ for $i = 0$ to 191 and $j = 0$ to 171 are bytes from the Scrambled Frames

$B_{i,j}$ for $i = 192$ to 207 and $j = 0$ to 171 are bytes of the Parity of Outer Code

$B_{i,j}$ for $i = 0$ to 207 and $j = 172$ to 181 are bytes of the Parity of Inner Code

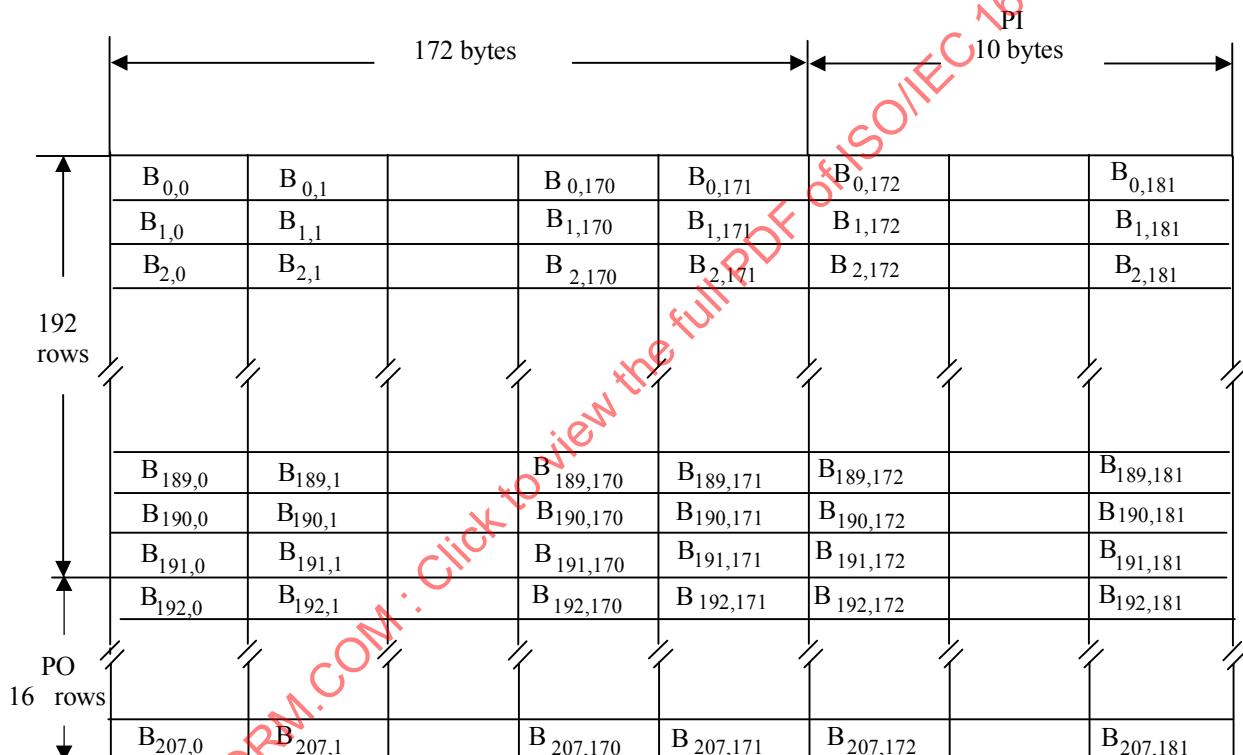


Figure 20 - ECC Block

The PO and PI bytes shall be obtained as follows.

In each of columns $j = 0$ to 171, the 16 PO bytes are defined by the remainder polynomial $R_j(x)$ to form the outer code RS (208,192,17).

$$R_j(x) = \sum_{i=192}^{207} B_{i,j} x^{207-i} = I_j(x) x^{16} \mod G_{PO}(x)$$

where

$$I_j(x) = \sum_{i=0}^{191} B_{i,j} x^{191-i}$$

$$G_{PO}(x) = \prod_{k=0}^{15} (x + \alpha^k)$$

In each of rows $i = 0$ to 207, the 10 PI bytes are defined by the remainder polynomial $R_i(x)$ to form the inner code RS (182,172,11).

$$R_i(x) = \sum_{j=172}^{181} B_{i,j} x^{181-j} = I_i(x) x^{10} \bmod G_{PI}(x)$$

where

$$I_i(x) = \sum_{j=0}^{171} B_{i,j} x^{171-j}$$

$$G_{PI}(x) = \prod_{k=0}^9 (x + \alpha^k)$$

α is the primitive root of the primitive polynomial $P(x) = x^8 + x^4 + x^3 + x^2 + 1$

19 Recording Frames

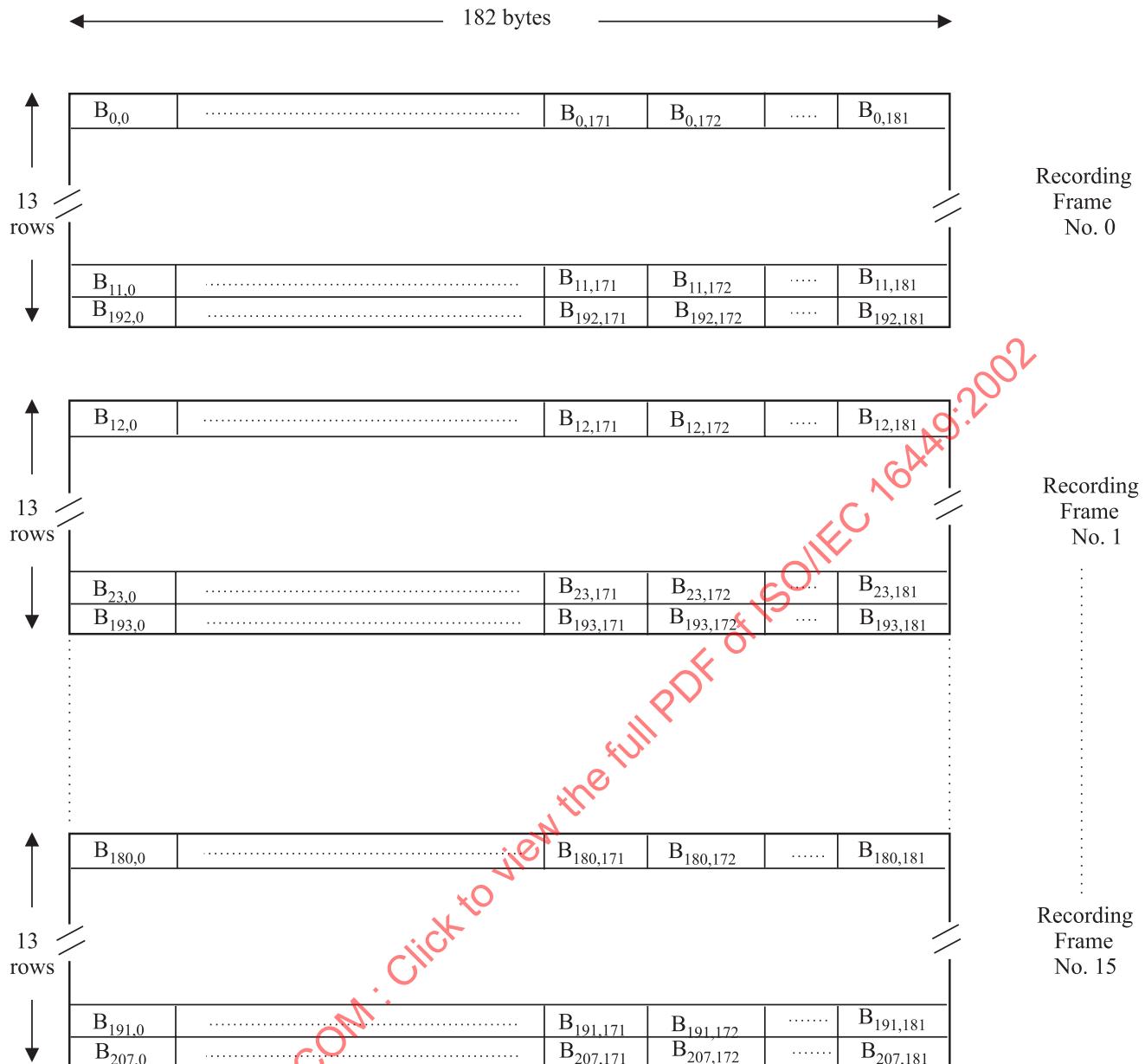
Sixteen Recording Frames shall be obtained by interleaving one of the 16 PO rows at a time after every 12 rows of an ECC Block (figure 21). This is achieved by re-locating the bytes $B_{i,j}$ of the ECC Block as $B_{m,n}$ for

$$m = i + \text{int}[i / 12] \quad \text{and } n = j \quad \text{for } i \leq 191$$

$$m = 13(i - 191) - 1 \quad \text{and } n = j \quad \text{for } i \geq 192$$

where $\text{int}[x]$ represents the largest integer not greater than x .

Thus the 37 856 bytes of an ECC Block are re-arranged into 16 Recording Frames of 2 366 bytes. Each Recording Frame consists of an array of 13 rows of 182 bytes.



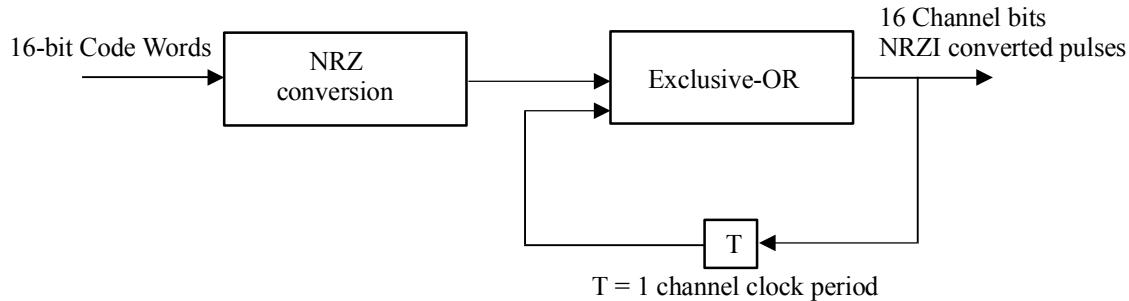
97-0023-A

Figure 21 - Recording Frames obtained from an ECC Block

20 Modulation

The 8-bit bytes of each Recording Frame shall be transformed into 16-bit Code Words with the run length limitation that between 2 ONEs there shall be at least 2 ZEROS and at most 10 ZEROS (RLL 2,10). Annex G specifies the conversion tables to be applied. The Main Conversion table and the Substitution table specify a 16-bit Code Word for each 8-bit bytes with one of 4 States. For each 8-bit byte, the tables indicate the corresponding Code Word, as well as the State for the next 8-bit byte to be encoded.

The 16-bit Code Words shall be NRZI-converted into Channel bits before recording on the disk. (figure 22).

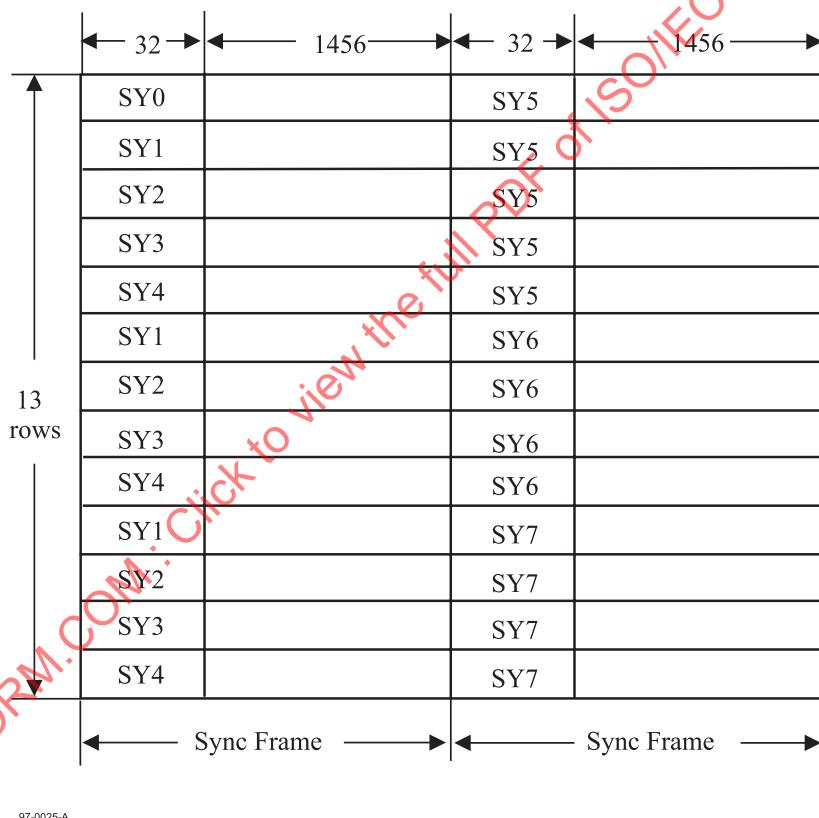


97-0024-A

Figure 22 - NRZI conversion

21 Physical Sectors

The structure of a Physical Sector is shown in figure 23. It shall consist of 13 rows, each comprising two Sync Frames. A Sync Frame shall consist of a SYNC Code from table 4 and 1 456 Channel bits representing the first, respectively the second 91 8-bit bytes of a row of a Recording Frame. The first row of the Recording Frame is represented by the first row of the Physical Sector, the second by the second, and so on.



97-0025-A

Figure 23 - Physical Sector

Recording shall start with the first Sync Frame of the first row, followed by the second Sync Frame of that row, and so on row-by-row.

- 2) If the $|DSV|$ of the stream in which case c) occurs is smaller than that of the other stream, then the stream in which case c) has occurred is chosen and duplicated to the other stream. One of the representations of the next 8-bit byte is entered into this stream and the other into the other stream.
- 3) If the $|DSV|$ of the stream in which case c) has occurred is larger than that of the other stream, then case c) is ignored and the 8-bit byte is represented according to the prescribed State.

In both cases b) and c), if the $|DSV|$ s are equal, the decision to choose Stream 1 or Stream 2 is implementation-defined.

The procedure for case a) shall be as follows. At the end of a Sync Frame, whether or not case b) and/or case c) have occurred, the DSV of the whole Sync Frame is computed and the stream with the lower $|DSV|$ is selected. If this DSV is greater than +63 or smaller than -64, then the SYNC Code at the beginning of the Sync Frame is changed from Primary to Secondary or vice versa. If this yields a smaller $|DSV|$, the change is permanent, if the $|DSV|$ is not smaller, the original SYNC Code is retained.

During the DSV computation, the actual values of the DSV may vary between -1000 and +1000, thus it is recommended that the count range for the DSV be at least from -1 024 to +1 023.

Section 5 - Format of the Information Zone(s)

23 General description of an Information Zone

The Information Zone shall be divided in three parts : the Lead-in Zone, the Data Zone and the Lead-out Zone. In SL disks and in DL disks in PTP mode there is one Information Zone per layer. In DL disks in OTP mode, there is only one Information Zone extending over two layers. In DL disks in OTP mode, the Information Zone has a Middle Zone in each layer to allow the read-out beam to move from Layer 0 to Layer 1 (see figure 5b). The Data Zone is intended for the recording of Main Data. The Lead-in Zone contains control information. The Lead-out Zone allows for a continuous smooth read-out.

24 Layout of the Information Zone

The Information Zone of SL disks and of DL disks in PTP mode shall be sub-divided as shown in table 5. The value of the radii indicated are the nominal values of the first track of the first Physical Sector and that of the last track of the last Physical Sector of a zone.

Table 5 - Layout of the Information Zone

| | Nominal radius in mm | | Sector Number of the first Physical Sector | Number of Physical Sectors |
|-------------------------------------|--|---|--|----------------------------------|
| Lead-in Zone Initial Zone | 22,6 max. to 24,0 | | | |
| Reference Code Zone | | | (02F000) | 32 |
| Buffer Zone 1 | | | (02F020) | 480 |
| Control Data Zone | | | (02F200) | 3 072 |
| Buffer Zone 2 | | | (02FE00) | 512 |
| Data Zone | 24,0 to r_1 | | (030000) | |
| Lead-out Zone | r_1 to 35,0 min. when $r_1 < 34,0$ | r_1 to $(r_1+1,0)$ when $34,0 \leq r_1 \leq 37,5$ | r_1 to 38,5 when $37,5 < r_1 < 38,0$ | |

25 Physical Sector numbering

The first Physical Sector of the Data Zone has the Sector Number (030000), it shall be recorded at the beginning of the Data Zone (see d_8 in 10.6).

On SL disks, the Sector Number of the Physical Sectors increases by 1 for each Physical Sector (figure 24).

On DL disks in PTP mode, the Sector Number of the Physical Sectors increases by 1 for each Physical Sector. The Physical Sectors are numbered in the same way on Layer 0 and on Layer 1 (figure 25).

On DL disks in OTP mode, the Sector Number of the Physical Sectors increases by 1 for each Physical Sector from (030000) to the highest Sector Number on Layer 0. The first Sector Number on Layer 1 shall be derived from this highest Sector Number by inverting its bits, viz. changing from ZERO to ONE and vice versa. Further Sector Numbers on Layer 1 increase by 1 for each Physical Sector (figure 26). The Physical Sector chosen to be that with the highest Sector Number in the Data Zone on Layer 0 shall be such that the inverted value of its Sector Number is a multiple of 16.

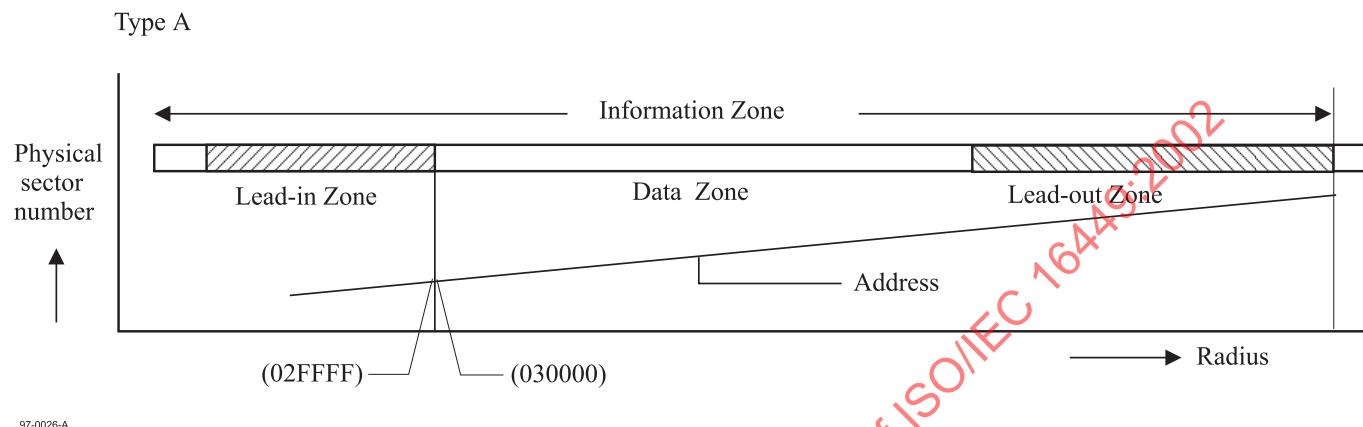


Figure 24 - Physical Sector numbering on Type A

For Type B, the same structure applies on each side of the disk.

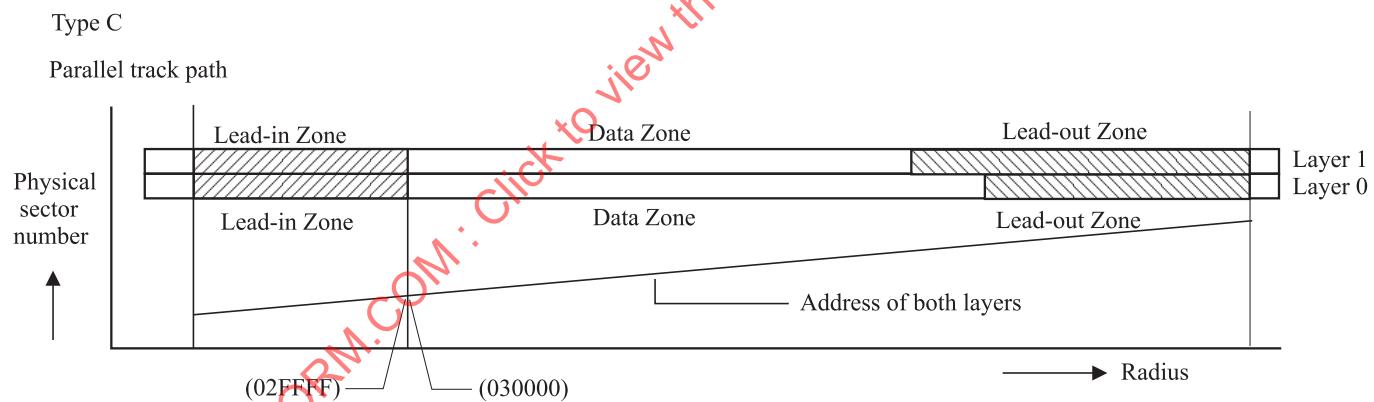
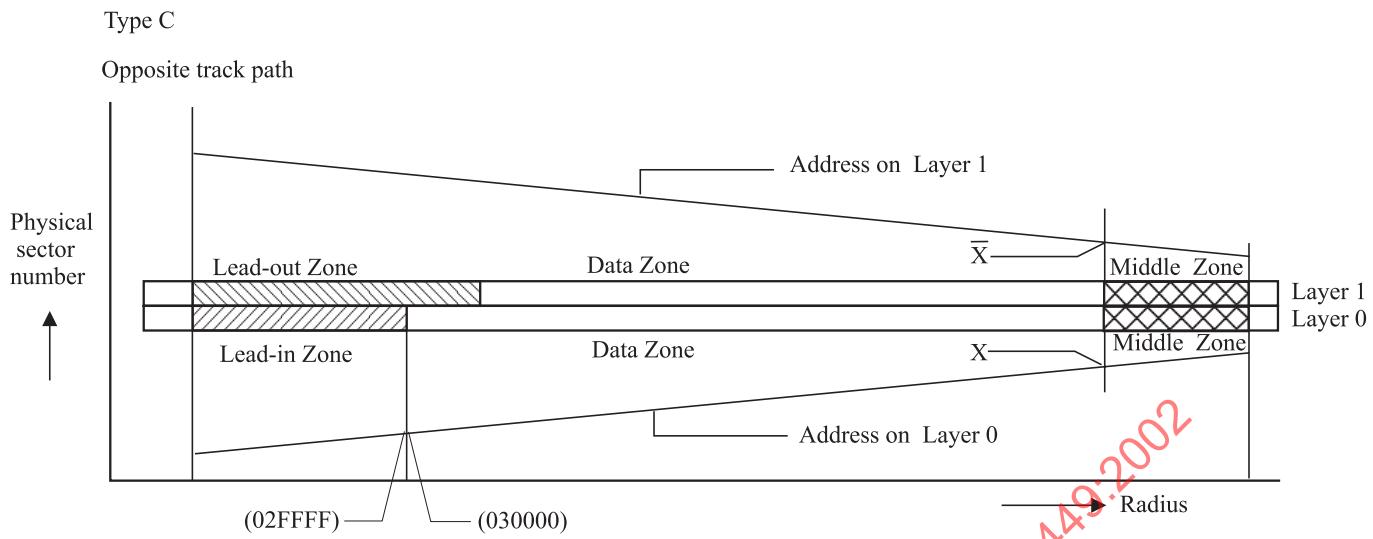


Figure 25 - Physical Sector numbering on Type C in PTP mode



97-0028-A

Figure 26 - Physical Sector numbering on Type C in OTP mode

For Type D, the same structures apply on each side of the disk.

26 Lead-in Zone

The Lead-in Zone is the innermost zone of the Information Zone. It shall consist of the following parts (figure 27). The Sector Number of the first Physical Sector of each part is indicated in figure 27 in hexadecimal and in decimal notation.

- Initial Zone,
- Reference Code Zone,
- Buffer Zone 1,
- Control Data Zone,
- Buffer Zone 2.

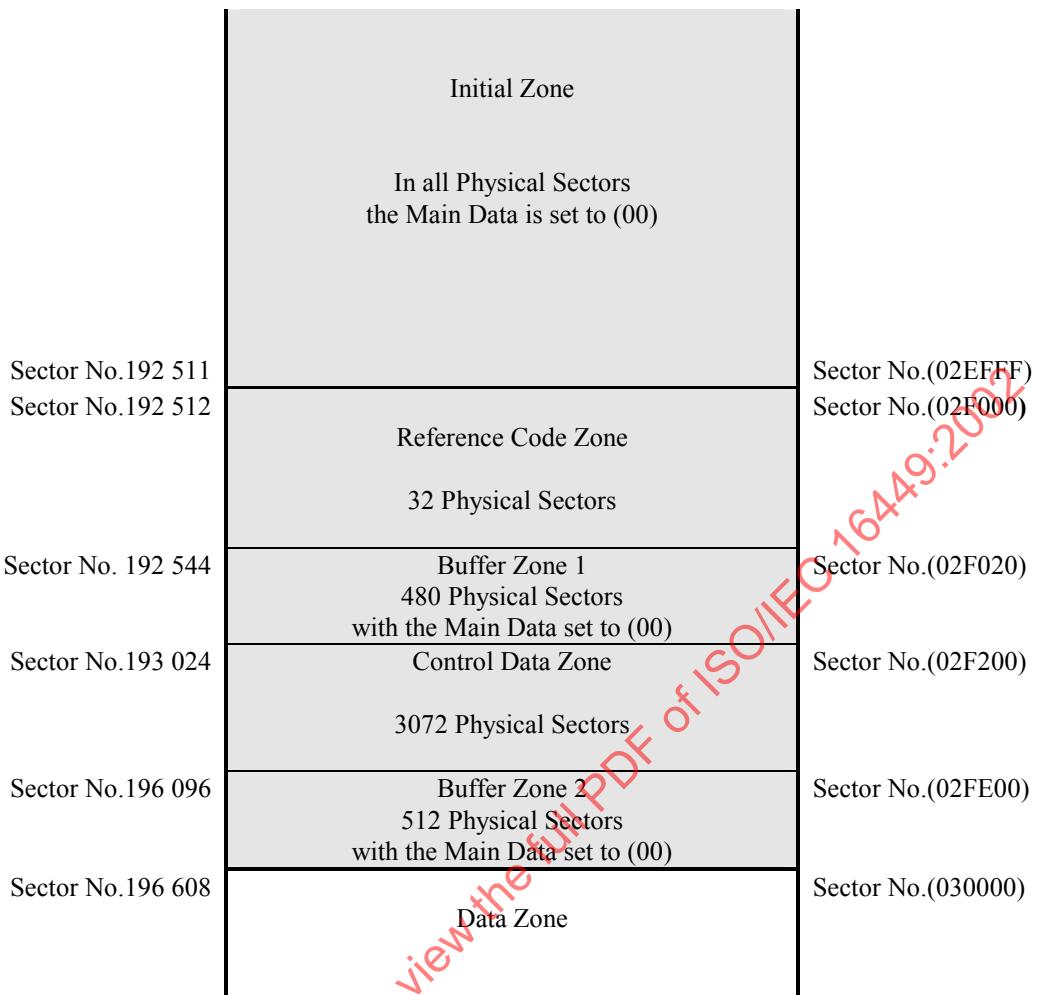


Figure 27 - Lead-in Zone

26.1 Initial Zone

The Main Data of the Data Frames eventually recorded as Physical Sectors in the Initial Zone shall have been set to (00). This International Standard does not specify the number of Physical Sectors in the Initial Zone. However, the Sector Number of the first Physical Sector of the Data Zone is large enough so as to prevent a Sector Number 0 to occur in the Initial Zone.

26.2 Reference Code Zone

The Reference Code Zone shall consist of the 32 Physical Sectors from two ECC Blocks which generate a specific Channel bit pattern on the disk. This shall be achieved by setting to (AC) all 2 048 Main Data bytes of each corresponding Data Frame. Moreover, no scrambling shall be applied to these Data Frames, except to the first 160 Main Data bytes of the first Data Frame of each ECC Block (see also annex L).

26.3 Buffer Zone 1

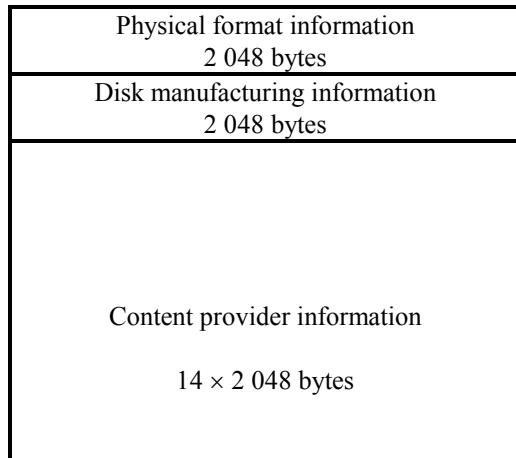
This zone shall consist of 480 Physical Sectors from 30 ECC Blocks. The Main Data of the Data Frames eventually recorded as Physical Sectors in this zone shall have been set to (00).

26.4 Buffer Zone 2

This zone shall consist of 512 Physical Sectors from 32 ECC Blocks. The Main Data of the Data Frames eventually recorded as Physical Sectors in this zone shall have been set to (00).

26.5 Control Data Zone

This zone shall consist of 3 072 Physical Sectors from 192 ECC Blocks. The content of the 16 Physical Sectors of each ECC Block is repeated 192 times. The structure of a Control Data Block shall be as shown in figure 28.

**Figure 28 - Structure of a Control Data Block****26.5.1 Physical format information**

This information shall comprise the 2 048 bytes shown in table 6 and described below.

Table 6 - Physical format information

| Byte number | Content | Number of bytes |
|-------------|-------------------------------------|-----------------|
| 0 | Disk Category and Version Number | 1 |
| 1 | Disk size and maximum transfer rate | 1 |
| 2 | Disk structure | 1 |
| 3 | Recording density | 1 |
| 4 to 15 | Data Zone allocation | 12 |
| 16 | BCA descriptor | 1 |
| 17 to 31 | Reserved | 15 |
| 32 to 2 047 | Reserved | 2 016 |

Byte 0 - Disk Category and Version Number

Bits b₀ to b₃ shall specify the Version Number

They shall be set to 0001, indicating this International Standard

Bits b₄ to b₇ shall specify the Disk Category

These bits shall be set to 0000, indicating a read-only disk.

Other settings are prohibited by this International Standard.

Byte 1 - Disk size and maximum transfer rate

Bits b₀ to b₃ shall specify the maximum transfer rate.

if set to 0000, they specify a maximum transfer rate of 2,52 Mbit/s

if set to 0001, they specify a maximum transfer rate of 5,04 Mbit/s

if set to 0010, they specify a maximum transfer rate of 10,08 Mbit/s

Bits b₄ to b₇ shall specify the disk size

They shall be set to 0001, indicating a 80 mm disk

Other settings are prohibited by this International Standard.

Byte 2 - Disk structure

Bits b₀ to b₃ shall specify the type of the recorded layer(s)

They shall be set to 0001, indicating a read-only layer(s)

Bit b_4 shall specify the track path

if set to ZERO, it specifies PTP on DL disks or a SL disk

if set to ONE, it specifies OTP on DL disks

Bits b_5 and b_6 shall specify the disk Type

if set to 00, they specify Type A or Type B

if set to 01, they specify Type C or Type D

Bit b_7 shall be set to ZERO.

Other settings are prohibited by this International Standard.

Byte 3 - Recording density

Bits b_0 to b_3 shall specify the average track pitch, they shall be set to 0000, indicating an average track pitch of 0,74 µm

Bits b_4 to b_7 shall specify the average Channel bit length

if set to 0000, they specify 0,133 µm

if set to 0001, they specify 0,147 µm

Other settings are prohibited by this International Standard.

Bytes 4 to 15 - Data Zone allocation

Byte 4 shall be set to (00).

Bytes 5 to 7 shall be set to (030000) to specify the Sector Number 196 608 of the first Physical Sector of the Data Zone

Byte 8 shall be set to (00).

Bytes 9 to 11 shall specify the Sector Number of the last Physical Sector of the Data Zone

Byte 12 shall be set to (00)

Byte 13 to 15 shall be set to (00) on SL disks and DL disks in PTP mode, and to the Sector Number of the last Physical Sector of Layer 0 on DL disks in OTP mode.

Byte 16 - BCA descriptor

This byte shall specify whether or not there is a Burst Cutting Area on the disk.

bits b_0 to b_6 shall be set to ZERO

bit b_7 , the BCA flag, shall specify whether or not a BCA exists

if set to ZERO, it shall indicate that a BCA does not exist

if set to ONE, it shall indicate that a BCA exists on a Type A or a Type C disk

On Type B and Type D disks, bit b_7 shall be set to ZERO.

Bytes 17 to 31

These bytes shall be set to (00).

Bytes 32 to 2 047

These bytes shall be set to (00).

26.5.2 Disk manufacturing information

This International Standard does not specify the format and the content of these 2 048 bytes. They shall be ignored in interchange.

26.5.3 Content provider information

The format and the content of these 28 672 bytes require agreement between the interchange parties, else these bytes shall be set to all ZEROS.

27 Middle Zone

The Main Data of the Data Frames eventually recorded as Physical Sectors in the Middle Zone shall have been set to (00). This International Standard does not specify the number of Physical Sectors in the Middle Zone.

28 Lead-out Zone

The Main Data of the Data Frames eventually recorded as Physical Sectors in the Lead-out Zone shall have been set to (00). This International Standard does not specify the number of Physical Sectors in the Lead-out Zone.

IECNORM.COM : Click to view the full PDF of ISO/IEC 16449:2002

Annex A

(normative)

Measurement of the angular deviation α

The angular deviation is the angle α formed by an incident beam perpendicular to the Reference Plane P with the reflected beam (figure A.1.).

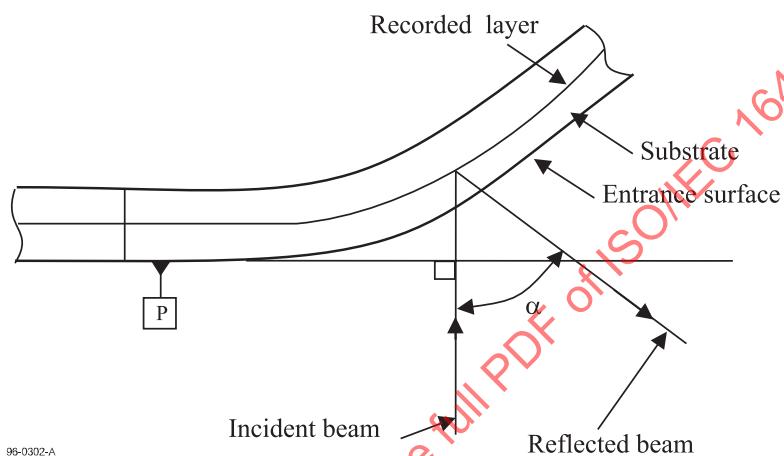


Figure A.1 - Angular deviation α

For measuring the angular deviation α , the disk shall be clamped between two concentric rings covering most of the Clamping Zone. The top clamping area shall have the same diameters as the bottom clamping area.

$$d_{\text{in}} = 22,3 \text{ mm} \quad \begin{matrix} + 0,5 \text{ mm} \\ - 0,0 \text{ mm} \end{matrix}$$

$$d_{\text{out}} = 32,7 \text{ mm} \quad \begin{matrix} + 0,0 \text{ mm} \\ - 0,5 \text{ mm} \end{matrix}$$

The total clamping force shall be $F_1 = 2,0 \text{ N} \pm 0,5 \text{ N}$. In order to prevent warping of the disk under the moment of force generated by the clamping force and the chucking force F_2 exerted on the rim of the centre hole of the disk, F_2 shall not exceed 0,5 N (figure A.2). This measurement shall be made under the conditions of 8.1.1.a).

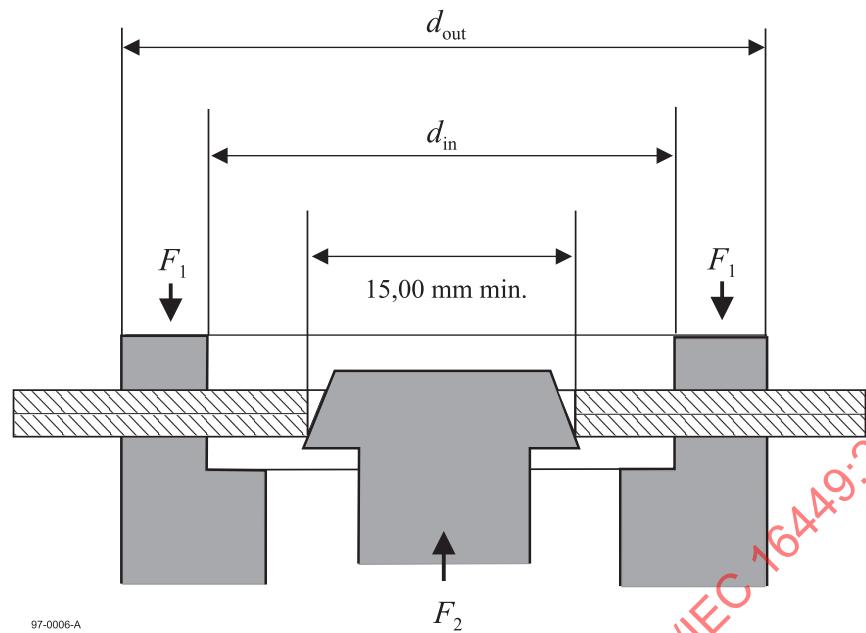


Figure A.2 - Clamping and chucking conditions

Annex B

(normative)

Measurement of birefringence

B.1 Principle of the measurement

In order to measure the birefringence, circularly polarized light in a parallel beam is used. The phase retardation is measured by observing the ellipticity of the reflected light.

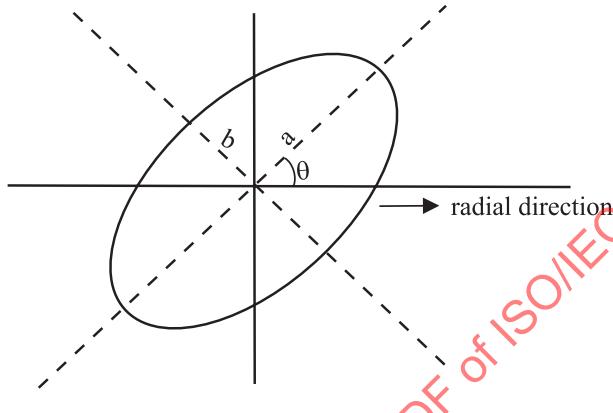


Figure B.1 - Ellipse with ellipticity $e = b/a$ and orientation θ

The orientation θ of the ellipse is determined by the orientation of the optical axis

$$\theta = \gamma - \pi/4 \quad (I)$$

where γ is the angle between the optical axis and the radial direction.

The ellipticity $e = b/a$ is a function of the phase retardation δ

$$e = \tan \left[\frac{1}{2} \left(\frac{\pi}{2} - \delta \right) \right] \quad (II)$$

When the phase retardation δ is known the birefringence BR can be expressed as a fraction of the wavelength

$$BR = \frac{\lambda}{2\pi} \delta \quad \text{nm} \quad (III)$$

Thus, by observing the elliptically polarized light reflected from the disk, the birefringence can be measured and the orientation of the optical axis can be assessed as well.

B.2 Measurements conditions

The measurement of the birefringence specified above shall be made under the following conditions.

Mode of measurement in reflection, double pass through the substrate

Wavelength λ of the laser light $640 \text{ nm} \pm 15 \text{ nm}$

Beam diameter (FWHM) $1,0 \text{ mm} \pm 0,2 \text{ mm}$

Angle β of incidence in radial direction relative to the radial plane perpendicular to Reference Plane P $7,0^\circ \pm 0,2^\circ$

Clamping and chucking conditions as specified by annex A

Disk mounting horizontally

Rotation less than 1 Hz

Temperature and relative humidity as specified in 8.1.1.a)

B.3 Example of a measuring set-up

Whilst this International Standard does not prescribe a specific device for measuring birefringence, the device shown schematically in figure B.2 as an example, is well suited for this measurement.

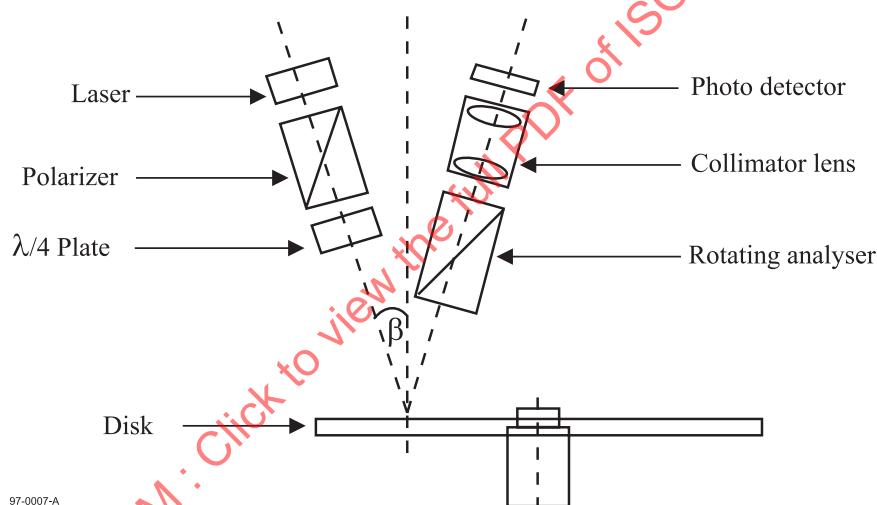


Figure B.2 - Example of a device for the measurement of birefringence

Light from a laser source, collimated into a polarizer (extinction ratio $\approx 10^{-5}$), is made circular by a $\lambda/4$ plate. The ellipticity of the reflected light is analyzed by a rotating analyzer and a photo detector. For every location on the disk, the minimum and the maximum values of the intensity are measured. The ellipticity can then be calculated as

$$e^2 = I_{\min} / I_{\max} \quad (\text{IV})$$

Combining equations II, III and IV yields

$$\text{BR} = \lambda/4 - \lambda/\pi \times \arctan \sqrt{\frac{I_{\min}}{I_{\max}}}$$

This device can be easily calibrated as follows

- I_{\min} is set to 0 by measuring a polarizer or a $\lambda/4$ plate,
- $I_{\min} = I_{\max}$ when measuring a mirror

Apart of the d.c. contribution of the front surface reflection, a.c. components may occur, due to the interference of the reflection(s) of the front surface with the reflection(s) from the recorded layer. These a.c. reflectance effects are significant only if the disk substrate has an extremely accurate flatness and if the light source has a high coherence.

Annex C

(normative)

Measurement of the differential phase tracking error

C.1 Measuring method for the differential phase tracking error

The reference circuit for the measurement of the tracking error shall be that shown in figure C.1. Each output of the diagonal pairs of elements of the quadrant photo detector shall be digitized independently after equalization of the wave form defined by

$$H(s) = (1 + 1,6 \times 10^{-7} i\omega) / (1 + 4,7 \times 10^{-8} i\omega)$$

The gain of the comparators shall be sufficient to reach full saturation on the outputs, even with minimum signal amplitudes. Phases of the digitized pulse signal edges (signals B1 and B2) shall be compared to each other to produce a time-lead signal C1 and a time-lag signal C2. The phase comparator shall react to each individual edge with signal C1 or C2, depending on the sign of Δt_i . A tracking error signal shall be produced by smoothing the C1, C2 signals with low-pass filters and by subtracting by means of a unity gain differential amplifier. The low-pass filters shall be 1st order filters with a cut-off frequency of (-3 dB) 30 kHz.

Special attention shall be given to the implementation of the circuit because very small time differences have to be measured, indeed 1 % of T equals only 0,38 ns. Careful averaging is needed.

The average time difference between two signals from the diagonal pairs of elements of the quadrant detector shall be

$$\bar{\Delta t} = 1/N \sum \Delta t_i$$

where N is the number of edges both rising and falling.

C.2 Measurement of $\bar{\Delta t}/T$ without time interval analyzer

The relative time difference $\bar{\Delta t}/T$ is represented by the amplitude of the tracking error signal provided that the amplitudes of the C1 and C2 signals and the frequency component of the read-out signals are normalized. The relation between the tracking error amplitude \overline{ATVE} and the time difference is given by

$$\overline{ATVE} = \frac{\sum \Delta t_i}{\sum T_i} V_{pc} = \frac{\sum \Delta t_i}{N n T} V_{pc} = \frac{\bar{\Delta t}}{T} \times \frac{V_{pc}}{n}$$

where

- V_{pc} is the amplitude of the C1 and C2 signals
- T_i is the actual length of the read-out signal in the range 3T to 14T
- nT is the weighted average value of the actual lengths
- $N n T$ is the total averaging time

Assuming that V_{pc} equals ≈ 5 V and that the measured value of n equals ≈ 5 , then the above relation between the tracking error amplitude \overline{ATVE} and the time difference $\bar{\Delta t}$ can be simplified to

$$\overline{ATVE} = \bar{\Delta t} / T$$

The specification for the tracking gain can now be rewritten by using the tracking error amplitude as follows

$$0,5 (V_{pc}/n) \leq \overline{ATVE} \leq 1,1 (V_{pc}/n)$$

at 0,1 μ m radial offset.

C.3 Calibration of the circuit

Assuming that V_{pc} equals ≈ 5 V and that the measured value of n equals ≈ 5 V, then the above relation between the tracking error amplitude \overline{ATVE} and the time difference $\overline{\Delta t}$ can be simplified to

$$\overline{ATVE} = \overline{\Delta t} / T \times V_{pc}/n \approx \overline{\Delta t} / T$$

The average run length of the 8-to-16 modulated signal is depending on the data content and the averaging time. Therefore, the circuit shall be calibrated with the fixed frequency signal corresponding to a modulated signal with 5T run length. For this purpose sinusoidal signals with a frequency of 2,616 MHz can be used.

Typically the pulse signals C1 and C2 will be generated by a digital gate circuit with an output signal switching between ground and the supply voltage. This voltage swing is assumed to be about 5 V. However, depending on the applied technology it may significantly deviate from 5 V.

Because the formal specification for the DPD signal is

$$0,5 \leq \overline{\Delta t} / T \leq 1,1 \quad \text{at } 0,1 \mu\text{m radial offset,}$$

the measurement by means of \overline{ATVE} is influenced by the actual value of V_{pc} and n . Therefore the following calibration procedure shall apply.

C.3.1 Saturation of the comparators

The gain of the level comparators shall be such, that for all actual input signal levels (especially 3T signals) it remains constant. In this case the amplitude of the signal TVE is independent of the amplitude of the input signals, and the gain of the level comparators is in the saturation area (See figure C.2).

C.3.2 Correction for n and V_{pc}

Because the above mentioned deviation of n and V_{pc} , and possibly of some other circuit parameters, a correction factor K has to be determined, such that

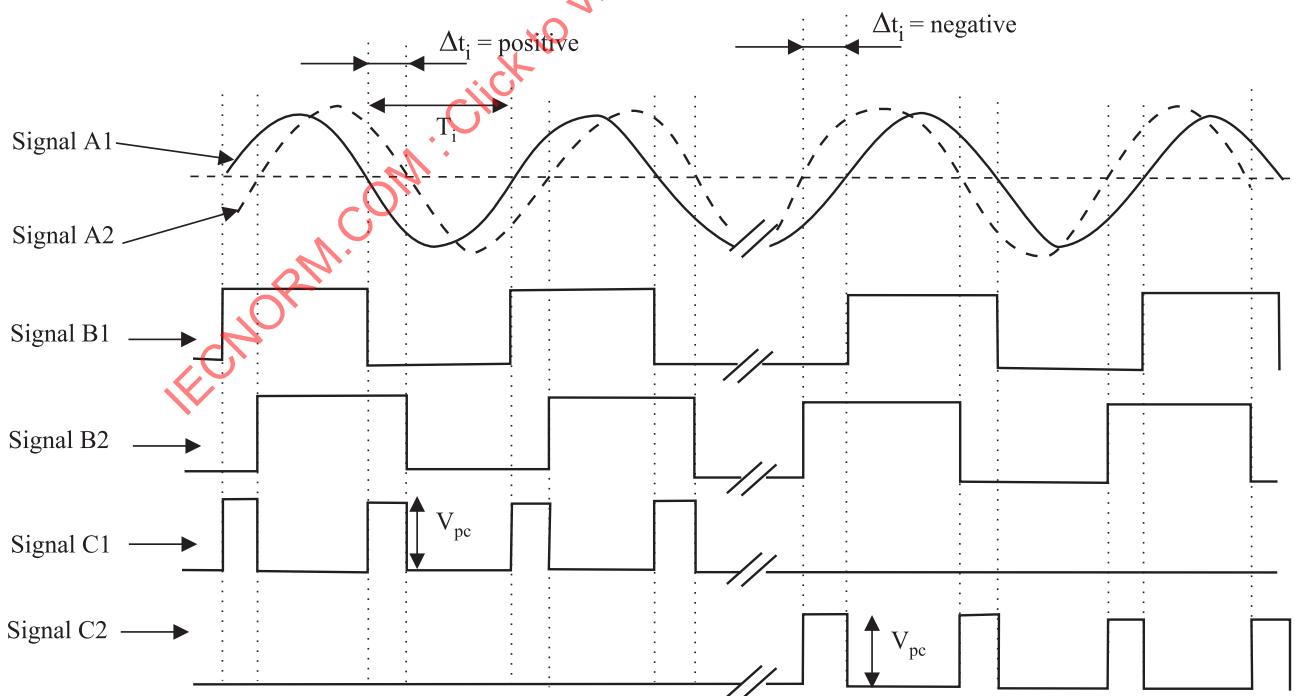
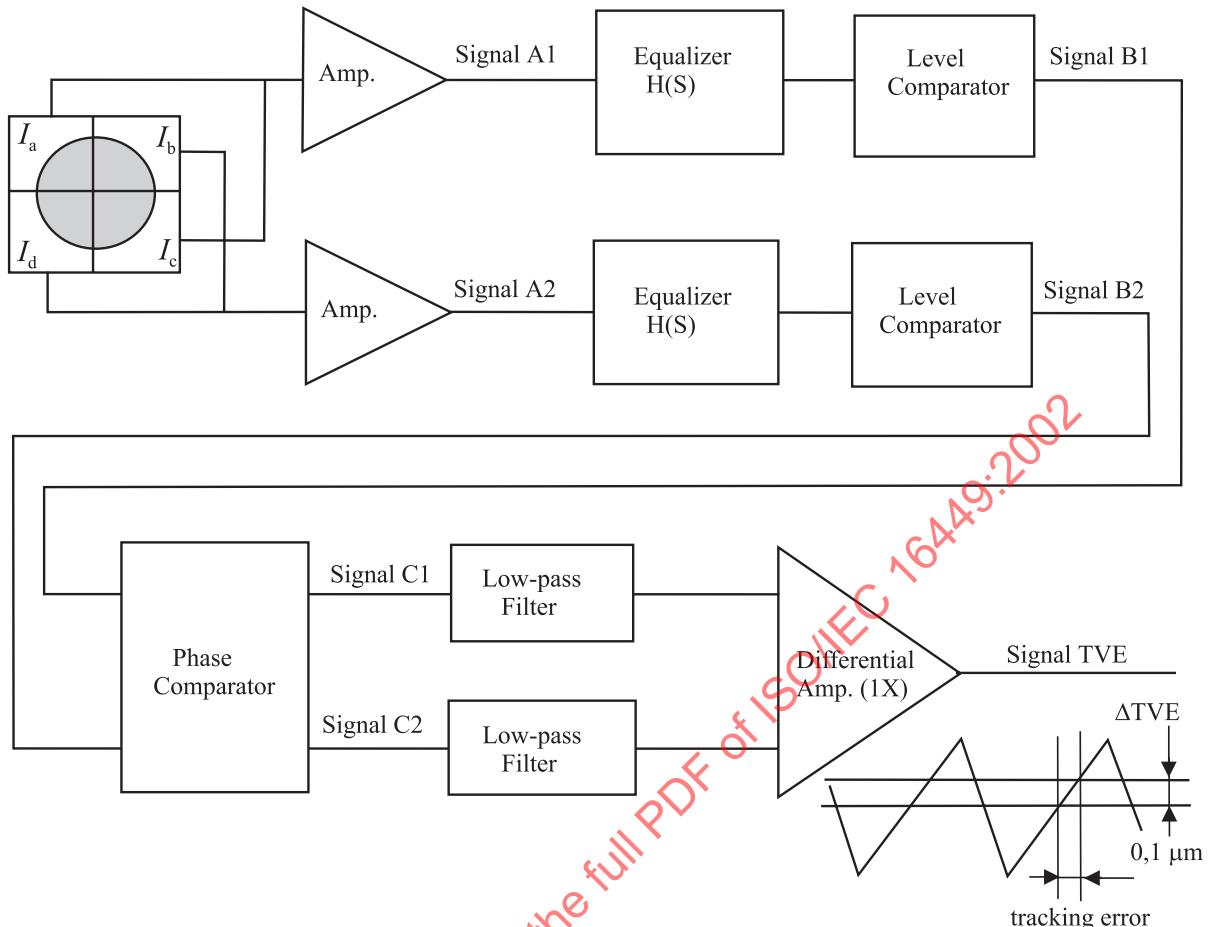
$$\overline{\Delta t} / T = K \times \overline{ATVE} \text{ (measured)}$$

This can be achieved as follows.

- Generate two sinusoidal signals A1 and A2 of frequency 2,616 MHz with a phase difference, and inject them into the two equalizer circuits.
- Measure the relation between $\overline{\Delta t} / T$ and \overline{ATVE} and determine K from figure C.3

$$K = [\overline{\Delta t} / T(\text{injected})] / [\overline{ATVE} \text{ (measured)}]$$

After the differential amplifier shown in figure C.1, the addition of an amplifier of gain K to the measuring equipment for the DPD tracking error that adjusts the correction factor K, allows the measurement of $\overline{\Delta t} / T$ directly from the output.



97-0010-A

Figure C.1 - Circuit for tracking error measurements

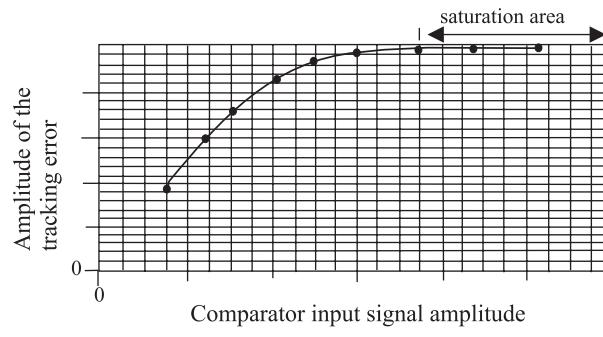


Figure C.2 - Comparator input signal amplitude vs tracking error signal amplitude

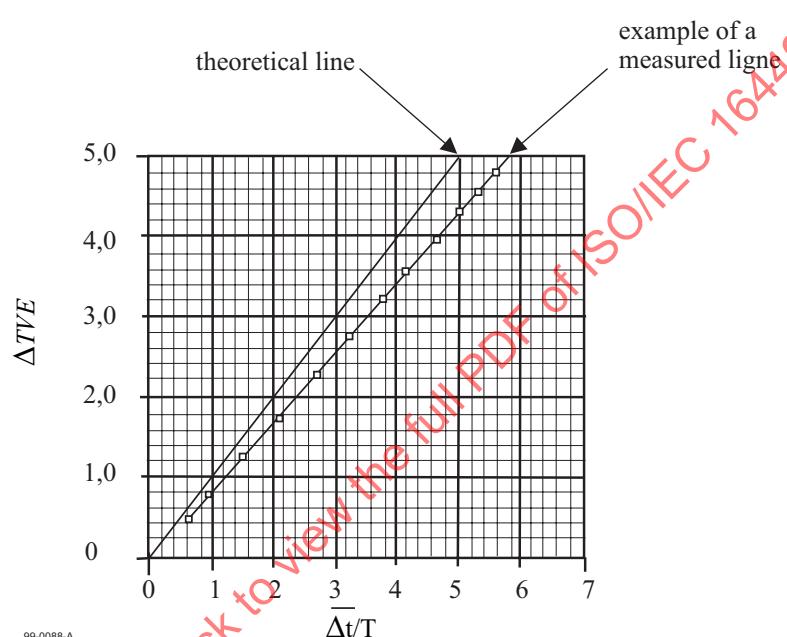


Figure C.3 - $\overline{\Delta t} / T$ vs $\overline{\Delta TVE}$

Annex D

(normative)

Measurement of light reflectance

D.1 Calibration method

A good reference disk shall be chosen, for instance 0,6 mm glass disk with a golden reflective mirror. This reference disk shall be measured by a parallel beam as shown in figure D.1

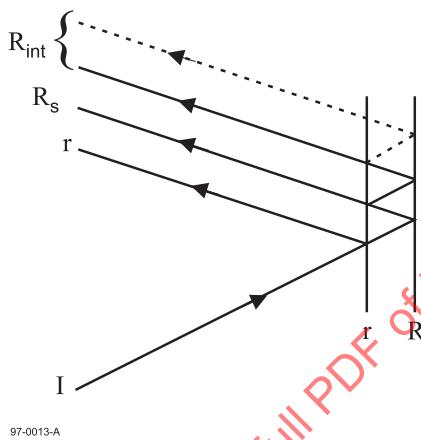


Figure D.1 - Reflectance calibration

In this figure the following applies.

- I = incident beam
- r = reflectance of the entrance surface
- R_s = main reflectance of the recorded layer
- R_{int} = other reflectances of the entrance surface and of the recorded layer
- $R_{//}$ = measured value, using the arrangement of figure D.1

$$R_{//} = r + R_s + R_{int}$$

$$r = ((n-1)/(n+1))^2 \text{ where } n \text{ is the refraction index of the substrate}$$

$$R_s = R_{//} - r - R_{int}$$

$$R_s = [(1-r)^2 \times (R_{//} - r)] / [1-r \times (2 - R_{//})]$$

The reference disk shall be measured on a reference drive and I_{mirror} measured by the focused beam is equated to R_s as determined above.

Now the arrangement is calibrated and the focused reflectivity is a linear function of the reflectivity of the recorded layer, independently from the reflectivity of the entrance surface.

D.2 Measuring method

The measuring method comprises the following steps.

- a. Measure the reflective light power D_s from the reference disk with calibrated reflectivity R_s

- b. Measure I_{14H} in the Information Zone of the disk (see 13.2).
- c. Calculate the reflectivity as follows

IECNORM.COM : Click to view the full PDF of ISO/IEC 16449:2002

Annex E
(normative)**Tapered cone for disk clamping**

The device used for centring the disk for measurement shall be a cone with a taper angle $\beta = 40,0^\circ \pm 0,5^\circ$ (see figure E.1).

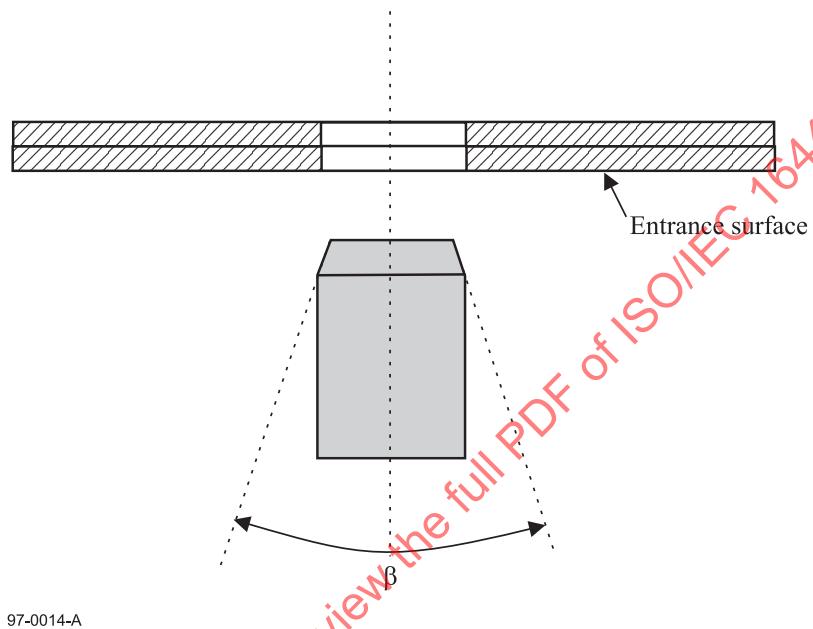


Figure E.1 - Tapered cone

Annex F

(normative)

Measurement of jitter

Jitter shall be measured under the conditions of 9.1 with the additional conditions specified in this annex.

F.1 System diagram for jitter measurement

The general system diagram for jitter measurement shall be as shown in figure F.1.

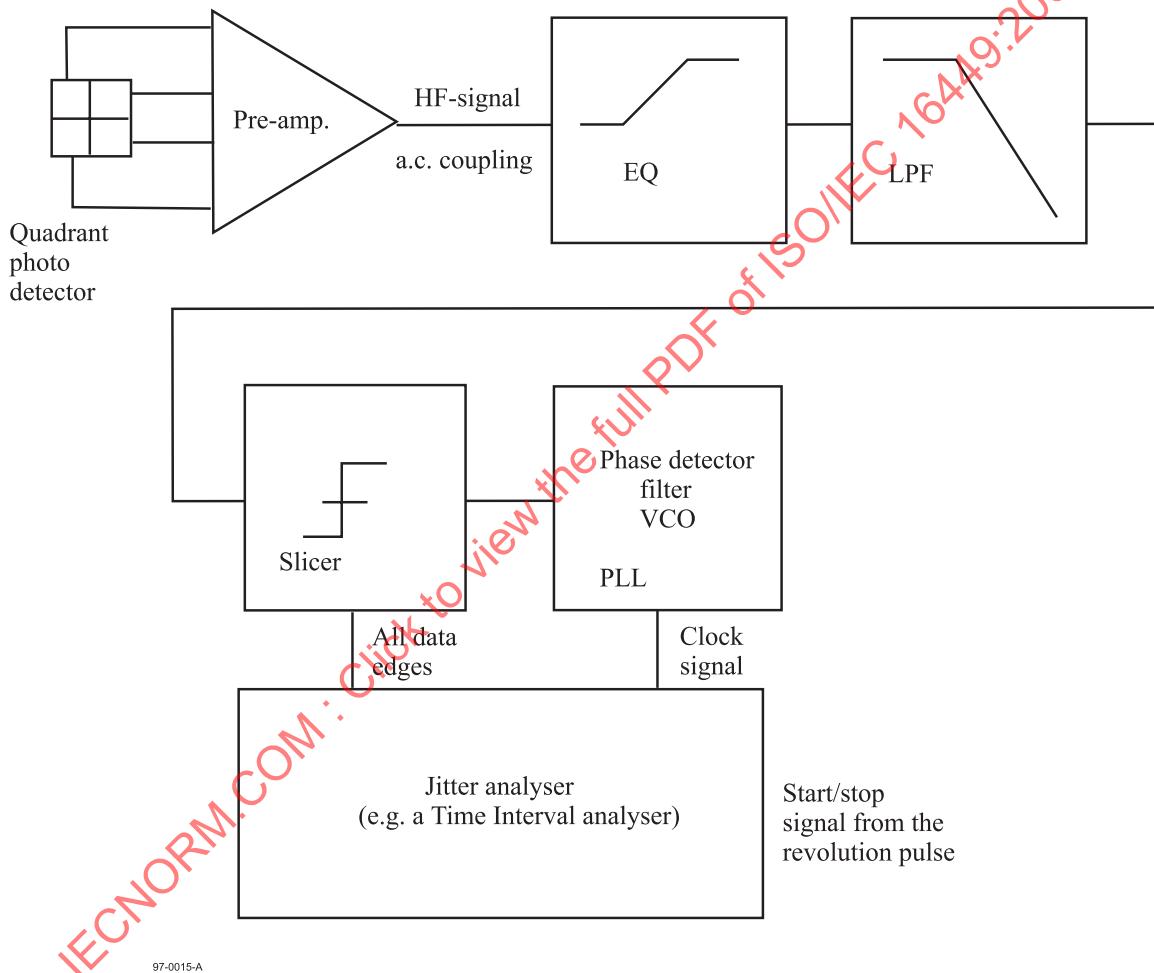


Figure F.1 - General diagram for jitter measurement

F.2 Open loop transfer function for PLL

The open-loop transfer function for the PLL shown in figure F.1 shall be as shown in figure F.2

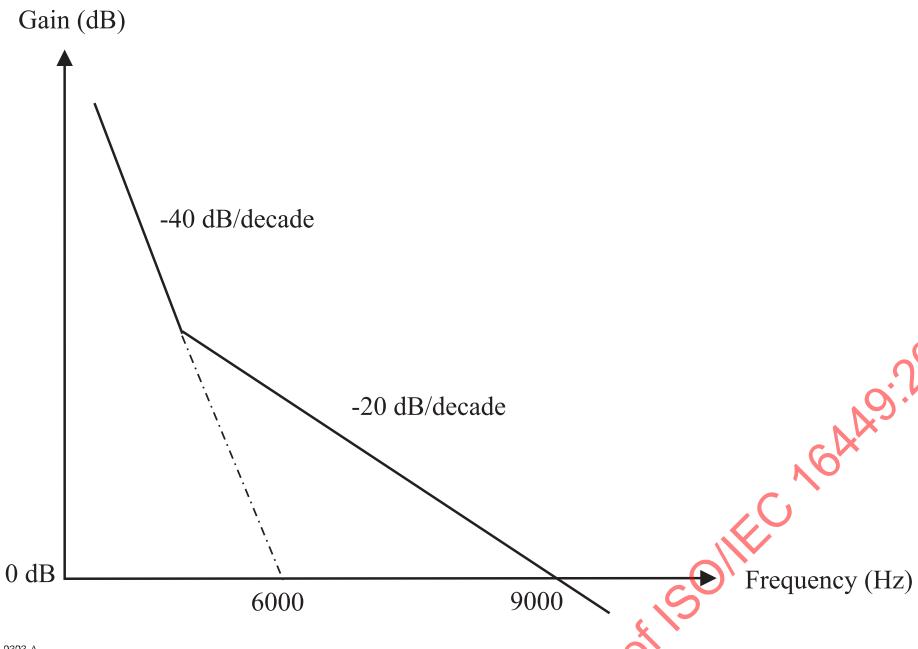


Figure F.2 - Schematic representation of the open-loop transfer function for PLL

F.3 Slicer

The slicer shall be a feed-back auto-slicer with a -3 dB closed-loop bandwidth of 5 kHz, 1st order integrating

F.4 Conditions for measurement

The bandwidth of the pre-amplifier of the photo detector shall be greater than 20 MHz in order to prevent group-delay distortion.

Low-pass filter : 6th order Bessel filter, f_c (-3 dB) = 8,2 MHz

Example of an analogue equalizer : 3-tap transversal filter with transfer function

$$H(z) = 1,35 z^{-2,093} - 0,175 (1 + z^{-4,186})$$

Filtering and equalization :

- Gain variation : 1 dB max. (below 7 MHz)
- Group delay variation : 3 ns max. (below 6,5 MHz)
- (Gain at 5,0 MHz - Gain at 0 Hz) = 3,2 dB ± 0,3 dB

a.c. coupling (high-pass filter) = 1st order, f_c (-3 dB) = 1 kHz

Correction of the angular deviation : only d.c. deviation.

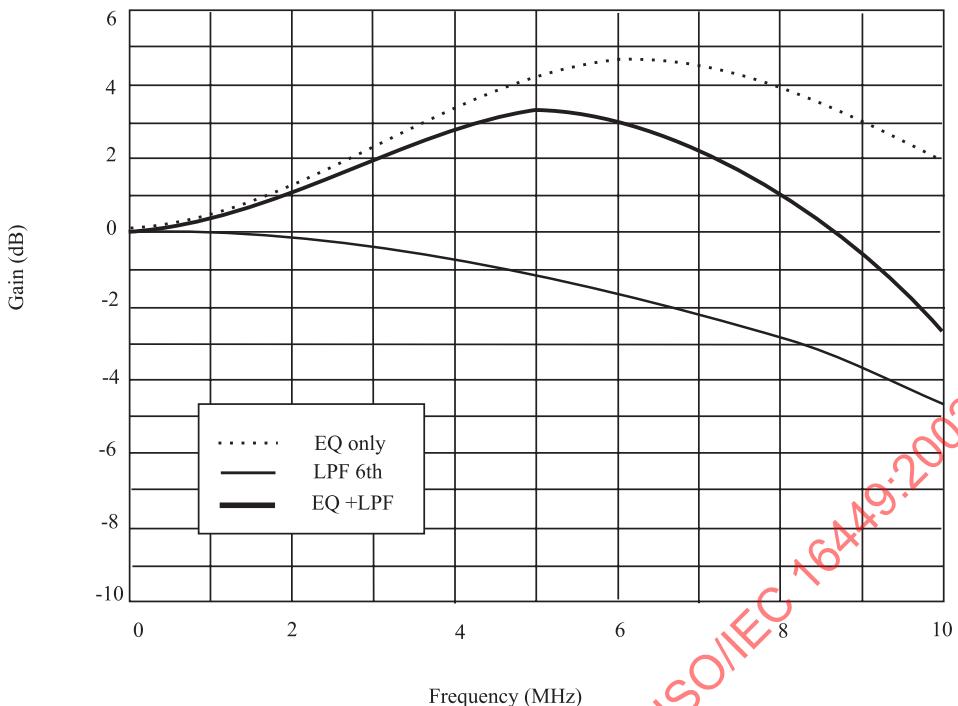


Figure F.3 - Frequency characteristics for the equalizer and the low-pass filter

F.5 Measurement

The jitter of all leading and trailing edges over one rotation shall be measured.

Under this measurement, the jitter shall be less than 8,0 % of the Channel bit clock period.

Annex G

(normative)

8-to-16 Modulation with RLL (2,10) requirements

Tables G.1 and G.2 list the 16-bit Code Words into which the 8-bit coded Data bytes have to be transformed. Figure G.1 shows schematically how the Code Words and the associated State specification are generated.

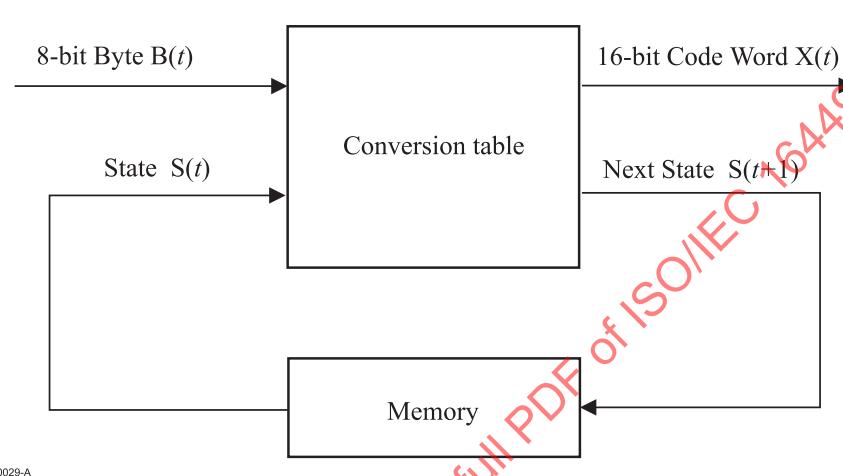


Figure G.1 - Code Words generation

In this figure :

$$\begin{aligned} X(t) &= H\{B(t), S(t)\} \\ S(t+1) &= G\{B(t), S(t)\} \\ H &\text{ is the output function} \\ G &\text{ is the next-state function} \end{aligned}$$

$$X_{15}(t) = \text{msb} \text{ and } X_0(t) = \text{lsb}$$

The Code Words leaving the States shall be chosen so that the concatenation of Code Words entering a State and those leaving that State satisfy the requirement that between two ONEs there shall be at least 2 and at most 10 ZEROS.

As additional requirements:

- Code Words leaving State 2 shall have both bit x_{15} and bit x_3 set to ZERO, and
- in Code Words leaving State 3 bit x_{15} or bit x_3 or both shall be set to ONE.

This means that the Code Word sets of States 2 and 3 are disjoint.

| Code Word X(t) | Next State S(t+1) | Code Word X(t+1) |
|---------------------------------------|-------------------|--|
| Ends with 1 or no trailing ZERO | State 1 | Starts with 2 or up to 9 leading ZEROS |
| Ends with 2 or up to 5 trailing ZEROS | State 2 | Starts with 1 or up to 5 leading ZEROS, and $X_{15}(t+1), X_3(t+1) = 0, 0$ |
| Ends with 2 or up to 5 trailing ZEROS | State 3 | Starts with none or up to 5 leading ZEROS, and $X_{15}(t+1), X_3(t+1) \neq 0, 0$ |
| Ends with 6 or up to 9 trailing ZEROS | State 4 | Starts with 1 or no leading ZERO |

Figure G.2 - Determination of States

Note that when decoding the recorded data, knowledge about the encoder is required to be able to reconstitute the original main Data.

$$B(t) = H^{-1} \{X(t), S(t)\}$$

Because of the involved error propagation, such state-dependent decoding is to be avoided. In the case of this 8-to-16 modulation, the conversion tables have been chosen in such a way that knowledge about the State is not required in most cases. As can be gathered from the tables, in some cases, two 8-bit bytes, for instance the 8-bit bytes 5 and 6 in States 1 and 2 in table G.1, generate the same 16-bit Code Words. The construction of the tables allows to solve this apparent ambiguity. Indeed, if two identical Code Words leave a State, one of them goes to State 2 and the other to State 3. Because the setting of bits X_{15} and X_3 is always different in these two States, any Code Word can be uniquely decoded by analysing the Code Word itself together with bits X_{15} and X_3 of the next Code Word :

$$B(t) = H^{-1} \{ X(t), X_{15}(t+1), X_3(t+1) \}$$

In the tables, the 8-bit bytes are identified by their decimal value.

Table G.1 - Main Conversion Table (concluded)

| 8-bit byte | State 1 | | State 2 | | State 3 | | State 4 | |
|---------------|--------------------|-----|-------------------|-----------|--------------------|---------------|-------------------|-----|
| | Code Word | | Next State | Code Word | | Next State | Code Word | |
| | msb | lsb | | msb | lsb | | msb | lsb |
| 242 | 0001001000010010 | 1 | 0001001000010010 | 1 | 1000000010000000 | 4 | 1000000010000000 | 4 |
| 243 | 0001000100000010 | 1 | 0001000100000010 | 1 | 1000100001001001 | 1 | 1000100001001001 | 1 |
| 244 | 0001001000001001 | 1 | 0100100000100001 | 1 | 0001001000001001 | 1 | 0100100000100001 | 1 |
| 245 | 0001000010000001 | 1 | 0001000010000001 | 1 | 1000100000100010 | 1 | 1000100000100010 | 1 |
| 246 | 0000100100010010 | 1 | 0000100100010010 | 1 | 1000100000010001 | 1 | 1000100000010001 | 1 |
| 247 | 0000100010000010 | 1 | 0000100010000010 | 1 | 1000010000010010 | 1 | 1000010000010010 | 1 |
| 248 | 0000100100001001 | 1 | 0100010010010001 | 1 | 0000100100001001 | 1 | 0100010010010001 | 1 |
| 249 | 0000010000100001 | 1 | 0000010000100001 | 1 | 1000010000001001 | 1 | 1000010000001001 | 1 |
| 250 | 00000010010010010 | 1 | 00000010010010010 | 1 | 1000001000000010 | 1 | 1000001000000010 | 1 |
| 251 | 00000010001000010 | 1 | 00000010001000010 | 1 | 1000000100000001 | 1 | 1000000100000001 | 1 |
| 252 | 00000010010001001 | 1 | 01000010000100010 | 1 | 000000100010001001 | 1 | 01000010000100010 | 1 |
| 253 | 00000010000100001 | 1 | 00000010000100001 | 1 | 01001000010001001 | 1 | 01001000010001001 | 1 |
| 254 | 000000010001000100 | 2 | 01000010000010001 | 1 | 10010000000100000 | 2 | 01000010000010001 | 1 |
| 255 | 000000010000001000 | 2 | 01000001000010010 | 1 | 10001001000100000 | 2 | 01000001000010010 | 1 |

